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# CONTRIBUTORS TO VOLUME I



## **Suzanne S. Abraham, BS, MS, PhD**

Associate Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
Department of Radiology  
Albert Einstein College of Medicine  
Montefiore Medical Center  
Bronx, New York  
*Chapter 32*

## **David Albert, FRCS**

Paediatric Otolaryngologist  
London, United Kingdom  
*Chapter 30*

## **Ronda E. Alexander, MD**

Assistant Professor  
Department of Otorhinolaryngology-Head & Neck  
Surgery  
University of Texas Health Science Center at  
Houston  
Houston, Texas  
*Chapters 4 and 36*

## **Kenneth W. Altman MD, PhD, FACS**

Associate Professor  
Department of Otolaryngology  
The Mount Sinai Medical Center  
New York, New York  
*Chapter 43*

## **Joseph Anticaglia, MD**

American Institute for Voice and Ear Research  
Philadelphia, Pennsylvania  
*Chapter 7*

## **John P. Bent, MD**

Associate Professor, Departments of  
Otolaryngology-Head and Neck Surgery  
and Pediatrics  
Children's Hospital at Montefiore  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 4*

## **Diane M. Bless, PhD, FASLHA**

Professor Emeritus  
Department of Surgery Division of Otolaryngology  
Department of Communicative Disorders  
University of Wisconsin  
Madison, Wisconsin  
*Chapter 11*

## **Andrew Blitzer, MD, DDS**

Director, New York Center for Voice and  
Swallowing Disorders at St. Luke's-Roosevelt  
Hospital and the Head and Neck Surgical  
Group;  
Professor of Clinical Otolaryngology  
College of Physicians and Surgeons of Columbia  
University  
New York, New York  
*Chapters 12 and 35*

## **Mark E. Boseley, MD, MS**

Assistant Professor of Surgery,  
The Uniformed Services University of the Health  
Sciences,  
Washington, DC  
Clinical Appointment,

Children's Hospital and Regional Medical Center  
Seattle, Washington  
Chief of Pediatric Otolaryngology,  
Madigan Army Medical Center  
Tacoma, Washington  
*Chapter 22*

**Michiel J. Bové, MD**

Assistant Professor of Otolaryngology  
Northwestern University Feinberg School of  
Medicine  
Chicago, Illinois  
*Chapter 44*

**Allan C. D. Brown**

Professor Emeritus  
Departments of Anesthesiology and  
Otorhinolaryngology, Head and Neck Surgery  
University of Michigan  
Ann Arbor, Michigan  
*Chapter 18*

**Linda M. Carroll, PhD, CCC-SLP**

Private Practice  
New York, New York  
*Chapter 38*

**Nadine Connor, PhD**

Assistant Professor  
Departments of Communicative Disorders and  
Surgery  
University of Wisconsin-Madison  
Madison, Wisconsin  
*Chapter 11*

**Robin T. Cotton, MD**

Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
University of Cincinnati College of Medicine  
Director,  
Pediatric Otolaryngology Head and Neck Surgery  
Cincinnati Children's Hospital  
Cincinnati, Ohio  
*Chapter 27*

**Roger L. Crumley, MD, MBA**

Professor and Chair, Emeritus  
Department of Otolaryngology-Head and Neck  
Surgery  
University of California, Irvine

President, American Laryngological Association  
2008-2009  
Irvine, California  
*Chapter 37*

**Seth H. Dailey, MD**

Assistant Professor  
University of Wisconsin School of Medicine and  
Public Health  
Department of Surgery  
Division of Otolaryngology-Head and Neck  
Surgery  
Madison, Wisconsin  
*Chapter 19*

**David H. Darrow, MD, DDS**

Professor of Otolaryngology and Pediatrics  
Eastern Virginia Medical School  
Attending Physician  
Children's Hospital of the King's Daughters  
Norfolk, Virginia  
*Chapter 25*

**Gabriele S. de Vos, MD**

Division of Allergy and Immunology  
Department of Medicine  
Albert Einstein College of Medicine  
Jacobi Medical Center  
Bronx, New York  
*Chapter 46*

**Kate DeVore, MA, CCC-SLP**

Owner  
Total Voice, Inc.  
Chicago, Illinois  
*Chapter 41*

**Jaime M. Eaglin, MD**

Resident  
Department of Otolaryngology  
Medical College of Virginia  
Richmond, Virginia  
*Chapter 25*

**Roland D. Eavey, MD**

Professor of Otolaryngology and Laryngology  
Harvard Medical School  
Director, Pediatric Otolaryngology Service  
Massachusetts Eye and Ear Infirmary  
Boston, Massachusetts  
*Chapter 4*

**Charles N. Ford, MD, FACS**

Otolaryngology Division, Department of Surgery  
University of Wisconsin School of Medicine and  
Public Health  
Madison, Wisconsin  
*Chapter 40*

**Marvin P. Fried, MD, FACS**

Professor and University Chairman  
Department of Otolaryngology-Head and Neck  
Surgery  
Montefiore Medical Center  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapters 5, 17, and 34*

**Ellen M. Friedman, MD**

Professor and Chief  
Service Texas Children's Hospital  
Bobby Alford Chair in Pediatric Otolaryngology  
Baylor College of Medicine  
Houston, Texas  
*Chapters 20, 21, and 26*

**Grete A. Fries MS, CCC-SLP**

Department of Otorhinolaryngology-Head and  
Neck Surgery  
Montefiore Medical Center  
Bronx, New York  
*Chapter 36*

**Shirley Gherston, MA, CCC-SLP**

Speech-Language Pathologist  
Voice Specialist  
Massachusetts Eye and Ear Infirmery  
Voicewise  
Boston, Massachusetts  
*Chapter 22*

**Eli Grunstein, MD**

Assistant Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
Assistant Director,  
Division of Pediatric Otolaryngology  
Columbia University Medical Center  
New York, New York  
*Chapter 33*

**Theresa A. Gurney, MD**

Department of Otolaryngology-Head and Neck  
Surgery

University of California, San Francisco  
San Francisco, California  
*Chapter 45*

**Christopher J. Hartnick, MD, MS**

Associate Professor  
Department of Otology and Laryngology  
Massachusetts Eye and Ear Infirmery  
Harvard Medical School  
Boston, Massachusetts  
*Chapter 22*

**Pamela Lynn Harvey, MA, CCC-SLP**

Director, Voice Pathology Services  
Division of Otolaryngology  
Brigham and Women's Hospital  
Boston, Massachusetts  
*Chapter 41*

**Mary J. Hawkshaw, RN, BSN, CORLN**

Research Associate Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
Drexel University College of Medicine  
Executive Director  
American Institute for Voice and Ear Research  
Philadelphia, Pennsylvania  
*Chapter 7*

**David H. Henick, MD, FACS**

Englewood Hospital Medical Center  
Englewood, New Jersey  
Hackensack University Medical Center  
Hackensack, New Jersey  
Montefiore Medical Center  
Bronx, New York  
*Chapter 3*

**Reinhardt J. Heuer, PhD**

Professor  
Department of Communication Science and  
Disorders  
College of Health Professionals  
Temple University  
Senior Researcher  
American Institute for Voice and Ear Research  
Philadelphia, Pennsylvania  
*Chapter 42*

**Natalie P. Higgins, MD**

Aesthetic and Cosmetic Facial Plastic Surgery

New England Plastic Surgery & Aesthetics  
Worcester, Massachusetts  
*Chapter 17*

**Lauren D. Holinger, M.D., FACS**

Head of Pediatric Otolaryngology  
Children's Memorial Hospital  
Professor,  
Department of Otolaryngology, Head and Neck  
Surgery  
Northwestern University Feinberg School of  
Medicine  
Chicago, Illinois  
*Chapter 25*

**David M. Howard**

Audio Lab, Intelligent Systems Research Group  
Department of Electronics  
University of York  
Heslington, York  
United Kingdom  
*Chapter 13*

**Jagdeep Hundal, MD**

Chief Resident  
Section of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut  
*Chapter 6*

**Collin S. Karmody, MD, FRCSE**

Professor Emeritus  
Department of Otolaryngology  
Tufts University School of Medicine  
Boston, Massachusetts  
*Chapter 1*

**Edward E. Kassel, DDS, MD, FRCPC**

Associate Professor  
Department of Medical Imaging  
University of Toronto  
Toronto, Ontario  
Canada  
*Chapter 16*

**Priya Krishna, MD**

Assistant Professor  
University of Pittsburgh Voice Center  
Division of Laryngology  
Department of Laryngology  
University of Pittsburgh School of Medicine

Pittsburgh, Pennsylvania  
*Chapter 44*

**Jeffrey T. Laitman, PhD**

Distinguished Professor,  
Professor and Director of Anatomy and Functional  
Morphology,  
Professor of Otolaryngology,  
Professor of Medical Education,  
Mount Sinai School of Medicine;  
Professor of Anthropology,  
Graduate Faculty of Anthropology of The City  
University of New York;  
Research Associate in Anthropology,  
The American Museum of Natural History  
New York, New York  
*Chapter 2*

**Robert Lebovics, MD**

Surgical Consultant  
National Institutes of Health  
Bethesda MD  
*Chapter 44*

**Kelvin C. Lee, MD (deceased)**

Associate Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
New York University School of Medicine  
New York, New York  
*Chapter 45*

**Steven H. Levy, MD**

Psychiatrist  
Wynnewood, Pennsylvania  
*Chapter 42*

**Craig Litman, MD**

Attending Physician  
Mather and St. Charles Hospital  
Port Jefferson, New York  
*Chapter 47*

**Dilip D. Madnani, MD**

Southwest ENT-Head & Neck Surgery  
Carlsbad, New Mexico  
*Chapter 36*

**Trevor J. McGill, MD**

Professor of Otolaryngology  
Harvard Medical School  
Clinical Director, Dept. of Otolaryngology  
Children's Hospital



Boston, Massachusetts

*Chapter 24*

**Samuel M. Meller, AB, DMD, DMSc**

Private Practice

Orthodontics

Fleurier, Switzerland

*Chapter 5*

**Andrew H. Murr, MD, FACS**

Professor of Otolaryngology-Head and Neck  
Surgery

Roger Boles, M.D. Endowed Chair in

Otolaryngology Education

University of California, San Francisco

Chief of Service

San Francisco General Hospital

San Francisco, California

*Chapter 45*

**H. Bryan Neel III, MD, PhD**

Professor and Chairman Emeritus

Department of Otolaryngology-HNS

Mayo Clinic College of Medicine

Rochester, Minnesota

*Chapter 44*

**Arnold M. Noyek, MD, FRCSC, FACS**

Professor of Otolaryngology-Head & Neck Surgery,  
Public Health Sciences and

Medical Imaging

Department of Otolaryngology-Head & Neck  
Surgery

University of Toronto

Toronto, Canada

*Chapter 16*

**Roger C. Nuss, MD, FACS**

Department of Otolaryngology and  
Communication Enhancement

Children's Hospital

Assistant Professor of Otolaryngology,

Harvard Medical School

Associate in Otolaryngology,

Children's Hospital

Boston, Massachusetts

*Chapter 10*

**Thomas J. Ow, MD**

Department of Otorhinolaryngology-Head and  
Neck Surgery

Albert Einstein College of Medicine

Bronx, New York

*Chapters 24 and 36*

**Sanjay R. Parikh, MD, FACS**

Associate Professor

Departments of Otorhinolaryngology-Head and  
Neck Surgery and Pediatrics

Albert Einstein College of Medicine

Bronx, New York

*Chapter 24*

**Rita R. Patel, PhD, CCC-SLP**

Senior Clinical Speech-Language Pathologist

University of Wisconsin Madison, Voice and

Swallow Clinic

Madison, Wisconsin

*Chapter 11*

**Joy S. Reidenberg, PhD**

Associate Professor, Center for Anatomy and  
Functional Morphology,

Associate Professor of Medical Education,

Mount Sinai School of Medicine

Research Collaborator, Department of Systematic  
Biology, Vertebrate

Zoology Section, Division of Mammals, National  
Museum of Natural

History

Guest Investigator, Department of Biology,

Woods Hole Oceanographic Institution

New York, New York

*Chapter 2*

**Frank L. Rimell, MS, MD**

Pediatric Otolaryngologist

Department of Otolaryngology

University of Minnesota

Minneapolis, Minnesota

*Chapter 29*

**Alessandra Rinaldo, MD, FRCSI *ad eundem*,  
FACS**

Clinical Professor of Otolaryngology

Department of Surgical Sciences

ENT Clinic

University of Udine School of Medicine

Udine, Italy

*Chapter 5*

**Kristina W. Rosbe, MD**

Assistant Professor of Clinical Otolaryngology  
Director, Division of Pediatric Otolaryngology  
University of California, San Francisco  
San Francisco, California

*Chapter 28*

**Clark A. Rosen, MD, FACS**

Director  
University of Pittsburgh Voice Center  
Associate Professor of Otolaryngology,  
University of Pittsburgh School of Medicine  
Associate Professor of Communication Science  
and Disorders,  
University of Pittsburgh School of Health and  
Rehabilitation Sciences  
Pittsburgh, Pennsylvania

*Chapter 44*

**Deborah Caputo Rosen, RN, PhD**

Private Practice, Medical Psychology  
Bryn Mawr, Pennsylvania

*Chapter 42*

**David Rosenstreich, MD**

Division of Allergy and Immunology  
Department of Medicine  
Albert Einstein College of Medicine and  
Montefiore Medical Center  
Bronx, New York

*Chapter 46*

**Douglas A. Ross, MD**

Professor, Vice Chief  
Section of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut

*Chapter 6*

**Robert J. Ruben, MD, FACS, FAAP**

Distinguished University Professor  
Department of Otorhinolaryngology-Head and  
Neck Surgery  
Albert Einstein College of Medicine  
Montefiore Medical Center  
Bronx, New York

*Chapter 23*

**Michael J. Rutter, MD, FRACS**

Associate Professor

Department of Otolaryngology-Head and Neck  
Surgery

University of Cincinnati College of Medicine  
Division of Pediatric Otolaryngology- Head and  
Neck Surgery

Cincinnati Children's Hospital Medical Center  
Cincinnati, Ohio

*Chapter 27*

**Clarence T. Sasaki, MD**

The Charles W. Ohse Professor  
Chief Section of Otolaryngology  
Director Head and Neck Unit  
Yale Comprehensive Cancer Center  
Yale University School of Medicine  
New Haven, Connecticut

*Chapter 6*

**Robert Thayer Sataloff, MD, DMA**

Professor and Chairman  
Department of Otolaryngology-Head & Neck  
Surgery  
Associate Dean for Clinical Academic Specialties  
Drexel University College of Medicine  
Philadelphia, Pennsylvania

*Chapters 7, 8, 39, and 42*

**Bradley A. Schiff, MD**

Department of Otorhinolaryngology-Head and  
Neck Surgery  
Albert Einstein College of Medicine  
Montefiore Medical Center  
Bronx, New York

*Chapter 47*

**Harm K. Schutte**

Groningen Voice Research Lab  
Groningen, The Netherlands

*Chapters 14 and 15*

**Jerome S. Schwartz, MD**

The Feldman ENT Group, P.C.  
Volunteer Clinical Faculty Otolaryngology  
Georgetown University Hospital  
Washington, DC

*Chapter 35*

**Stanley M. Shapshay MD, FACS**

Professor  
Division of Otolaryngology-Head and Neck Surgery  
Albany Medical College

Albany, New York

*Chapter 43*

**Mark Shikowitz, MD**

Professor and Vice Chairman  
Long Island Jewish Medical Center  
Department of Otolaryngology and  
Communicative Disorders  
Hearing and Speech Center  
New Hyde Park, New York  
The Albert Einstein School of Medicine  
Bronx, New York

*Chapter 31*

**Richard V. Smith, MD, FACS**

Associate Professor and Vice-Chair  
Department of Otorhinolaryngology-Head and  
Neck Surgery  
Albert Einstein College of Medicine  
Director, Head and Neck Service  
Montefiore Medical Center  
Bronx, New York

*Chapter 17*

**František Šram, MD**

Medical Healthcom, Ltd.  
Department of Phoniatics  
Prague, the Czech Republic

*Chapter 15*

**Bettie M. Steinberg, PhD**

Chief Scientific Officer,  
The Feinstein Institute for Medical Research  
Dean, Elmezzi Graduate School of Molecular  
Medicine  
North Shore-LIJ Health System  
Manhasset, New York  
and

Chief, Division of Otolaryngology Research  
Hearing and Speech Center  
Long Island Jewish Medical Center  
New Hyde Park, New York

*Chapter 31*

**Lucian Sulica, MD**

Associate Professor  
Director, Voice Disorders/Laryngology  
Department of Otorhinolaryngology  
Weill Cornell Medical College  
New York, New York

*Chapters 12 and 34*

**Jan G. Švec, PhD**

Palacký University Olomouc  
Department of Experimental Physics  
Laboratory of Biophysics  
Olomouc, the Czech Republic  
and

Medical Healthcom, Ltd.  
Phoniatric Department  
Prague, the Czech Republic

*Chapter 15*

**Dana M. Thompson, MD, MS, FACS**

Chair, Division of Pediatric Otolaryngology  
Mayo Clinic and Mayo Eugenio Litta Children's  
Hospital

Associate Professor of Otolaryngology  
Mayo Clinic College of Medicine  
Rochester, Minnesota

*Chapter 27*

**D. J. Trigg, MD**

Pediatric Otolaryngologist  
Peyton Manning Children's Hospital at St. Vincents  
Indianapolis, Indiana

*Chapter 28*

**John A. Tucker, MD**

Clinical Professor,  
Department of Otorhinolaryngology-Head and  
Neck Surgery  
University of Pennsylvania School of Medicine  
Clinical Professor,  
Department of ORL-HNS  
Drexel University College of Medicine  
Philadelphia, Pennsylvania

*Chapter 3*

**Tulio A. Valdez, MD**

Assistant Professor  
Baylor College of Medicine  
Department of Pediatric Otolaryngology  
Texas Children's Hospital  
Houston, Texas

*Chapters 20, 21, and 26*

**David E. Vokes, MBChB, FRACS**

Consultant Otolaryngologist, Head and Neck Surgery  
Auckland City Hospital  
Auckland, New Zealand

*Chapter 37*

**Robert F. Ward, MD, FACS**

Professor of Otolaryngology  
Department of Otorhinolaryngology  
Weill Medical College of Cornell University  
New York, New York

*Chapter 33*

**Ian J. Witterick, MD, MSc, FRCSC**

Associate Professor and Vice Chair  
Director of Postgraduate Education  
Dept. of Otolaryngology-Head & Neck Surgery  
University of Toronto  
Toronto, Ontario  
Canada

*Chapter 16*

**Eiji Yanagisawa, MD, FACS**

Clinical Professor of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut

*Chapter 9*

**Ken Yanagisawa, MD, FACS**

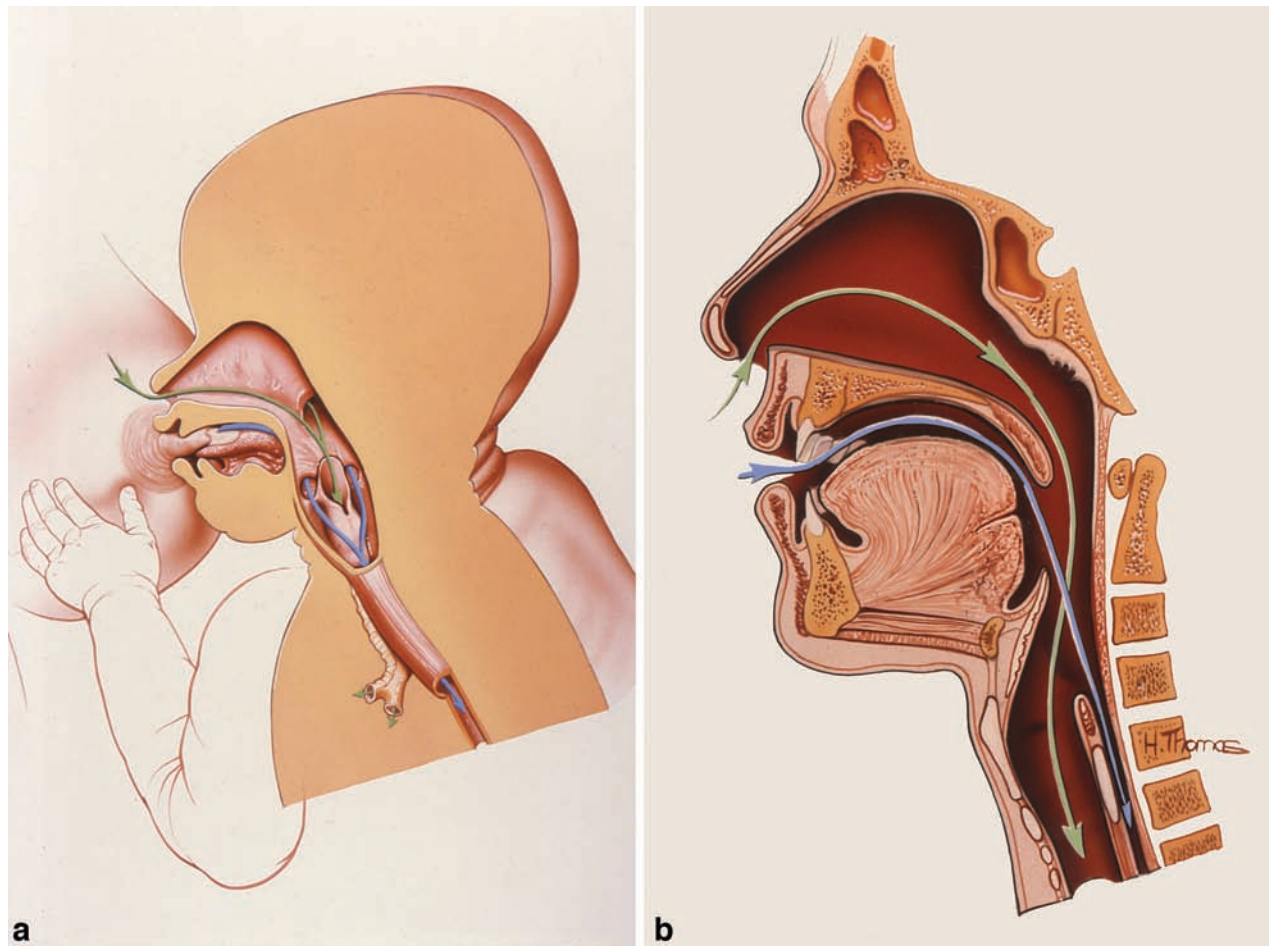
Clinical Assistant Professor of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut

*Chapter 9*

laryngeal position in newborns and young infants. This arrangement prevents the mixing of ingested food and inhaled air, thereby enabling the baby to breathe and swallow liquids almost simultaneously in a manner similar to that of monkeys. Thus, the baby can breathe through the nose with only minimal, if any, cessations as liquid flows from the oral cavity around the larynx into the esophagus (Fig 2-4A). Because of this high laryngeal position, newborns are essentially, if not obligatorily, nose breathers.<sup>49,50</sup> Indeed, studies of infants that have had deviation of the epiglottis/larynx due to ankyloglossia, and thus an anterior displacement of the larynx dislodging it from the palate and naso-

pharynx, demonstrated both suckling difficulties and unstable and low arterial oxygen percent saturation levels ( $\text{SaO}_2$ ). Correction of the ankyloglossia allowed the larynx to regain its normal position with epiglottic/palatal overlap in the nasopharynx with concomitant improvement of deficits.<sup>51</sup> As with nonhuman primates, the connection between the epiglottis and the soft palate is usually constant, but may be interrupted during the swallowing of a particularly large or dense bolus of food or liquid, during vocalization or crying, or because of disease as noted above.

Although the high position of the larynx in a human newborn or young infant effectuates the



**Fig 2-4.** Drawings depicting: **A.** the aerodigestive tract of a newborn human during suckling and **B.** the aerodigestive region in an adult human. Green arrows = respiratory route, blue arrows = digestive route. Note that the high laryngeal position in the infant effectuates largely distinct pathways whereas the lowered position of the larynx and tongue in the adult mandates the crossing of pathways.



**Fig 2–5.** Reconstruction of the head and neck anatomy of *Australopithecus africanus*, an early human ancestor, during quiet nasal respiration (based upon the fossil Sts 5 from Sterkfontein, South Africa; for discussion see text and reference 80). As with living monkeys and apes, we hypothesize that the earliest hominids would exhibit a highly positioned, intranarial larynx during nasal breathing, as well as during ingestion of some foods. The high larynx would also have limited the supralaryngeal area and thus the ability to modify laryngeal sounds compared to living humans.

respiratory and upper digestive maladies probably evolved along with our laryngeal shifts.<sup>6,60,62–63</sup>

What force or forces could have caused this change? Although the answer is probably a matrix of factors, the prime generator may be based in our ancestor's need to feed the respiratory system's requirement for increased air intake and oxygen. Such need could have been instigated by a series of evolutionary events that seminally affected our ancestors at this time. Prominent among these would have been the marked increase in brain size and—arguably complexity—with early members of *Homo*<sup>82,83</sup> that concomitantly could have increased

oxygen demands on the system. Increased brain size may also have structurally affected the cranial base, arguably causing internal flexion affecting laryngeal position. In addition, the necessity of short burst, or endurance, running on the African savannas to escape fast predators or chase equally fast prey may have become increasingly important. Indeed, recent studies have suggested that the human body plan is specifically designed to maximize endurance running, and that this ability likely evolved at this time as well.<sup>84</sup> Rather than redesign our nasal complex in order to capture additional oxygen—evolution could have endowed us with

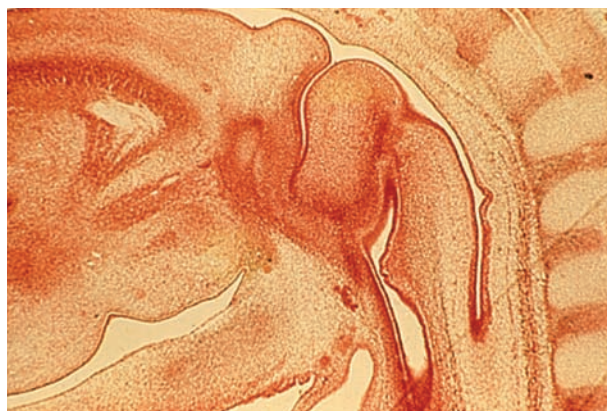


**Fig 3-20.** Stage 19. 18-mm crown-rump length embryo.

Figure 3-23 shows the Carnegie stage 19 embryo. The laryngeal cecum, which originates as a triangular lumen extending along the ventral aspect of the arytenoid swellings, continues its caudal descent until it reaches the level of the glottic region. The epithelial lamina completely separates the ventral laryngeal cecum from the dorsal pharyngoglottic duct.

### Stage 20

The embryo is approximately 51 days of age and 18 to 22 mm in length. The cartilaginous hyoid is visible below the epiglottis. Perichondrial development (appositional growth) is evident. Cartilaginous appo-



**Fig 3-21.** Sagittal section approximately in the median plane, at stage 19 (18 mm). The vertebral centra can be seen on the right, and the base of the skull (containing a part of the notochord) can be seen at the top of the photograph. Below the epiglottis, the cartilaginous hyoid condensation is visible. (From Tucker JA, O'Rahilly R. Observations on the embryology of the human larynx. *Ann. Otol* 1972; 81:520-523.)

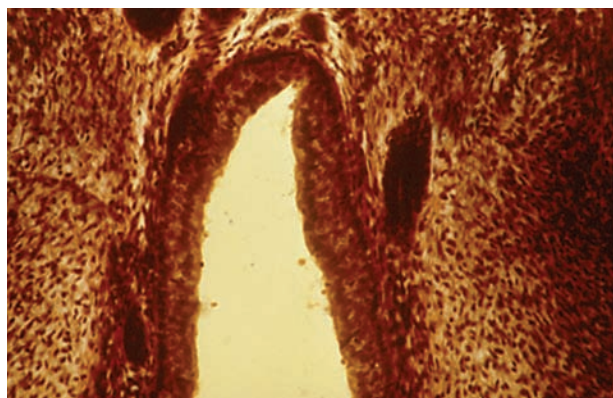
sitional growth also represents a shifting of the embryonic center from interstitial to appositional growth (Figs 3-24 and 3-25).

### Stage 21

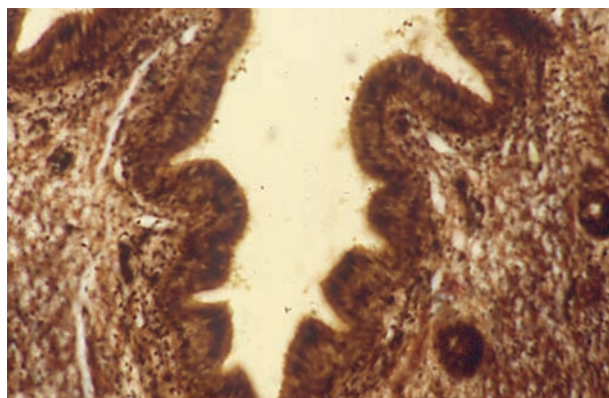
The embryo is approximately 52 days of age and 22 to 44 mm in length. The thyroid gland is identifiable, inferolateral to the cricoid cartilage (Fig 3-26). The coronal section through the laryngeal region demonstrates the triangular appearance of the infraglottic lumen (Fig 3-27). The epithelial lamina completely separates the laryngeal cecum from the infraglottic lumen.

### Stage 22

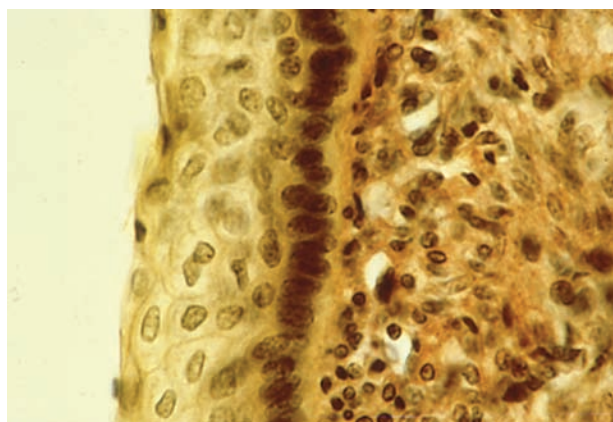
The embryo is approximately 54 days of age and 23 to 28 mm in length. Maturation of the anlagen of the intrinsic and extrinsic muscular tissue as well as the laryngeal cartilages continues.



**Fig 3–44.** Tucker Fetal Collection (20 weeks). Membranous anterior commissure of the vocal fold.

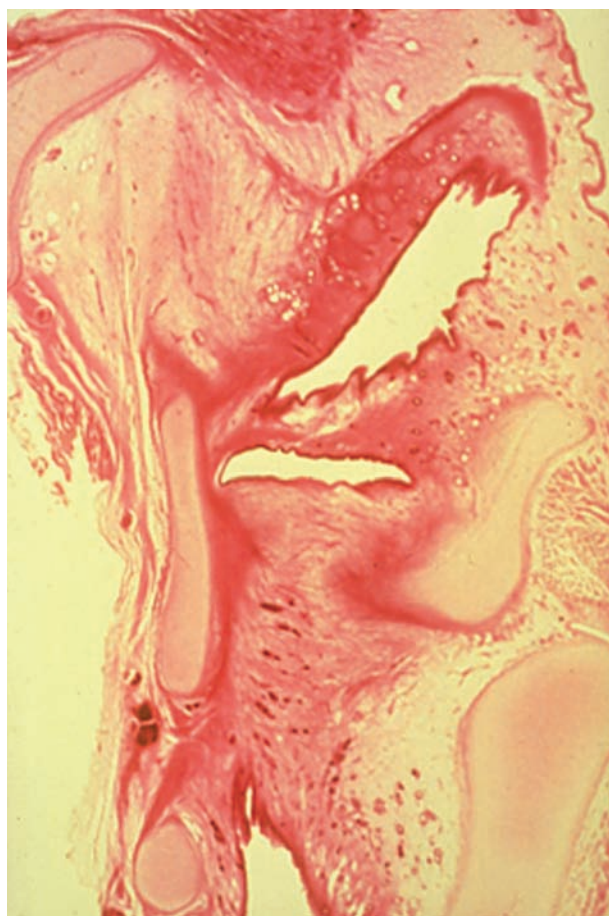


**Fig 3–46.** Tucker Fetal Collection (5 months). High power view of the posterior glottis respiratory epithelium.



**Fig 3–45.** Tucker Fetal Collection (5 months). High power view of the epithelium of the anterior vocal fold with first seen squamous epithelium.

noid facets are well defined, smooth, and symmetrical. Each arytenoid articulates with an elliptical facet on the posterior superior margin of the cricoid ring. The cricoid facet is approximately 6 mm long and has a cylindrical shape.<sup>19</sup> Most cricoarytenoid motion is rocking; however, along the long axis of the cricoid facet, gliding also occurs.<sup>20</sup> The cricoarytenoid joint is an arthrodioid joint, supported by a capsule lined with synovium and supported posteriorly by the cricoarytenoid ligament.<sup>21</sup> The cricoarytenoid joint controls abduction and adduction of the true vocal folds, thereby facilitating respiration, phonation and protection of the airway.



**Fig 3–47.** Sagittal section of a 5th-month fetus, Tucker Fetal Collection No. 411, 140 mm in length. Fibroelastic cartilage is present in the epiglottis (E). (From Tucker JA, Tucker and Tucker.<sup>2</sup>)





**Fig 4–16.** After the administration of topical anesthesia, the child is positioned on the parent’s lap with the arms and head gently secured. The infant safely tolerates this examination with minimal discomfort.

**Table 4–1.** Recommendations for Selection of Endotracheal Tubes and Bronchoscopes for Pediatric Patients

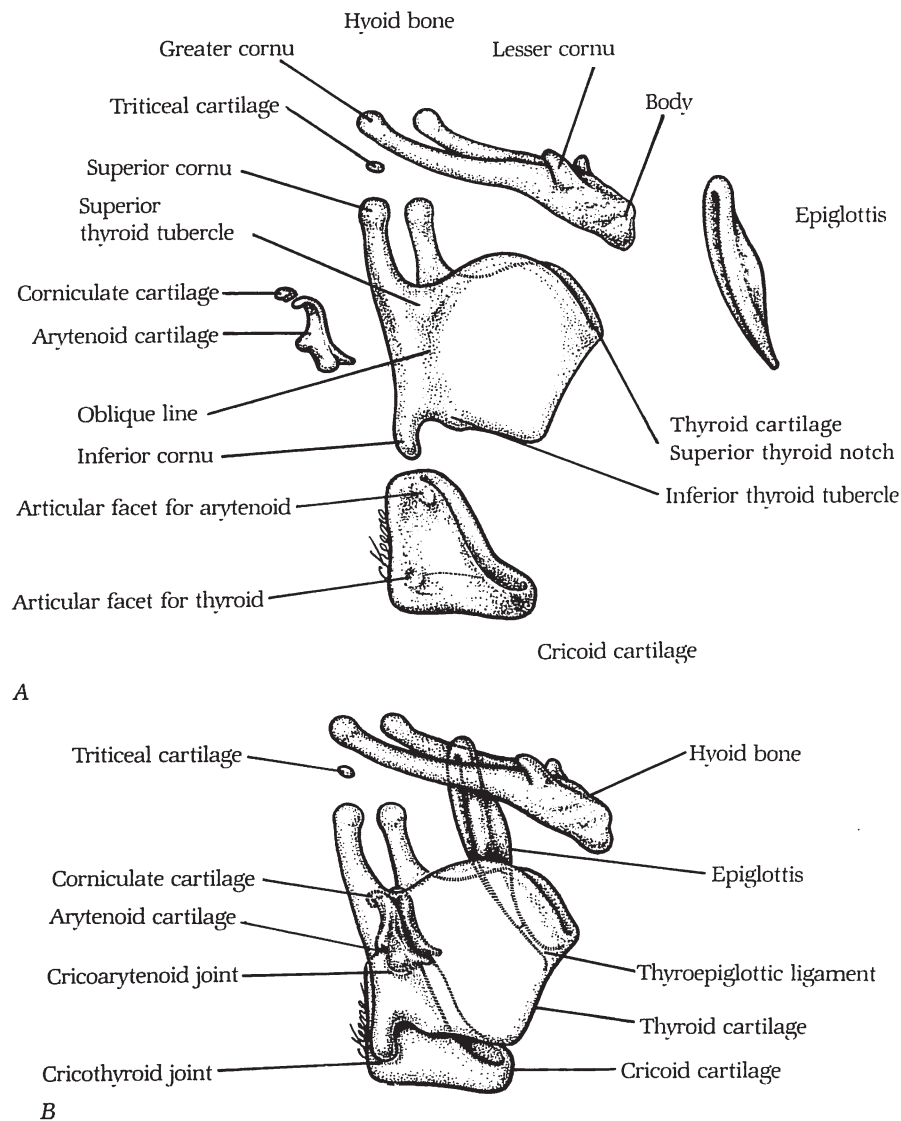
Patient Age	Endotracheal Tube		Bronchoscope		
	ID (mm)	OD (mm)	Size	ID (mm)	OD (mm)
Premature	2.0–3.0	2.8–4.3	2.5	3.5	4.2
0–3 MO	3.5	5.0	3.0	4.3	5.0
3–9 MO	4.0	5.6	3.5	5.0	5.7
9 MO–2 YR	4.5	6.2	3.7	5.7	6.4
2–3 YR	5.0	7.0	4.0	6.0	6.7
4–5 YR	5.5	7.6	4.5	6.6	7.3
6–7 YR	6.0	8.2	5.0	7.1	7.8

*Note:* These endotracheal tube sizes refer to uncuffed tubes.

In preparation for a pediatric tracheotomy, several points bear mention. The hyoid bone represents the most obvious palpable landmark. The thyroid cartilage often cannot be felt and the cricoid, although it has some definition, may feel like a large 1st tracheal ring. The thyrohyoid and cricothyroid membranes cannot be felt easily but may be in-

ferred from the positions of the hyoid bone and cricoid cartilage.

In addition to anatomic considerations, the growth of the larynx has an effect on common pediatric laryngeal pathologies. The most commonly encountered laryngeal problems are (1) laryngomalacia, (2) vocal fold paralysis/paresis, and (3) subglottic



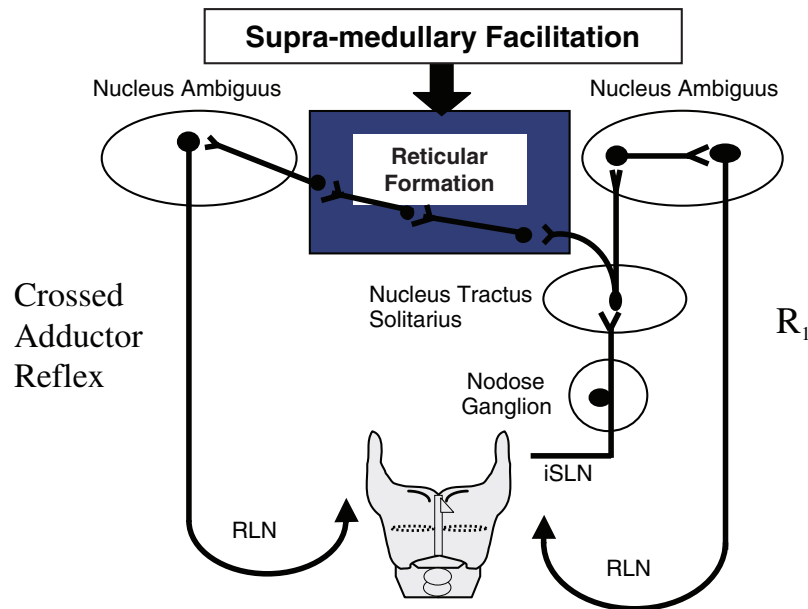
**Fig 5-3.** Disarticulated larynx (A) and in normal approximation (B).

of the arytenoid cartilages are composed of elastic fibrocartilage and do not undergo ossification.

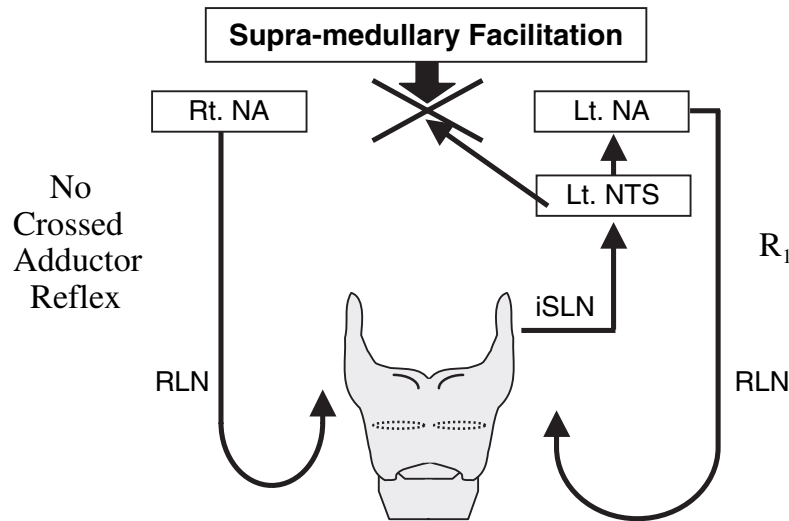
The male and female larynges differ little in size up to puberty. Thereafter, while the female larynx grows slightly, the male larynx enlarges in all dimensions, reaching the following average proportions.<sup>3</sup>

	Male	Female
Length	44 mm	36 mm
Transverse diameter	43 mm	41 mm
Anteroposterior diameter	36 mm	26 mm

The thyroid cartilage (*thyros* = "shield," Greek) is the largest laryngeal cartilage, and it shields the opening to the airway and supports most of the soft tissue folds in the larynx. The angle between the laminae of the thyroid cartilage exhibits sexual dimorphism with a 90° angle in men and a 120° angle in women. This angle is similar in both sexes before puberty. The laminae fuse at the midline symphysis with a narrow strip of cartilage, the intrathyroid cartilage, after birth, but it is not unusual to find residual intrathyroid cartilage in the midline in the infant. In men, the isthmus forms a downward



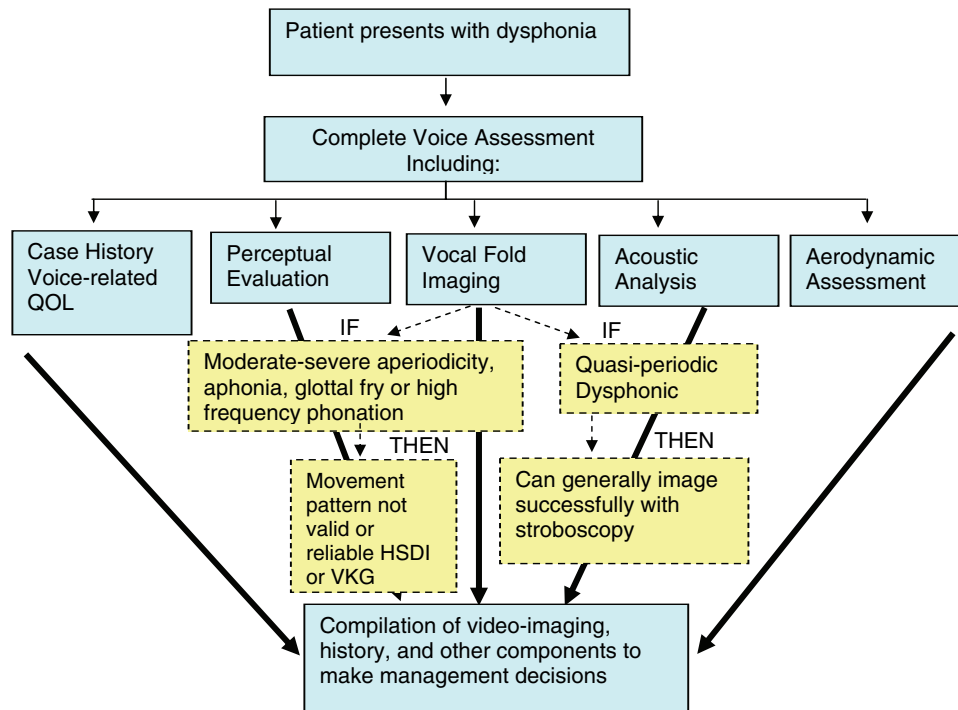
**Fig 6–3.** Organizational model of the ipsilateral and crossed adductor reflex pathway in man.



**Fig 6–4.** Organizational model demonstrating the loss of contralateral  $R_1$  under deep anesthesia.

cartilages in the posterior gap, completes the first of the 3 sphincteric tiers of protection. The second tier of protection occurs at the level of the false cords, consisting of bilateral folds forming the roof of each laryngeal ventricle. The third tier of protection occurs at the level of the true vocal cords, which in

man are shelflike with a slightly upturned free border. The inferior division of the thyroarytenoid muscle forms the bulk of this shelf, and with the passive valvular effect of the upturned border or the true cord margin, the true vocal cord perhaps is the most significant of the 3 barriers to aspiration.



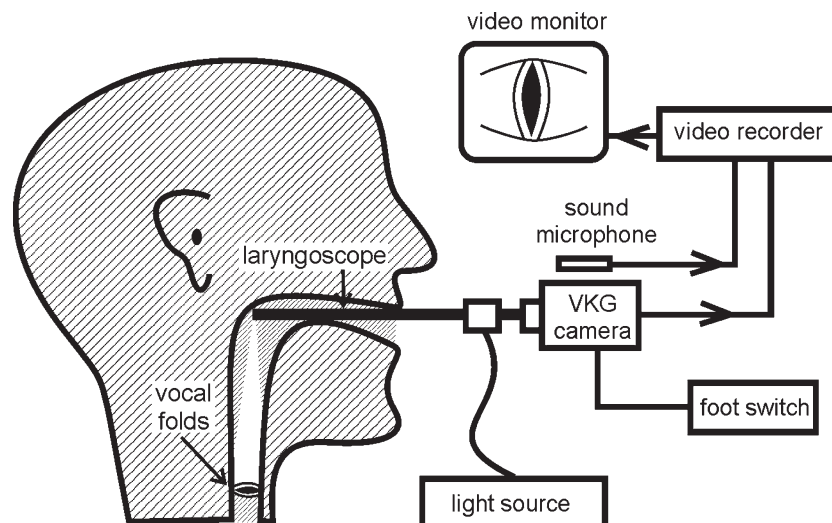
**Fig 11–12.** Flow diagram illustrating the role of stroboscopy and how it might be used to help management decisions and to determine the need for additional visualization techniques.

Tests of vocal function, including all forms of laryngeal imaging, have come a long way from their inception in the latter part of the 19th century, and as we move forward in this 21st century, we may be edging toward more science and less art.<sup>39,49,51,53,64,69</sup> Group data related to age, gender, phoneme produced, and vocal training are increasingly available.<sup>17,30,67,70,91–93</sup>

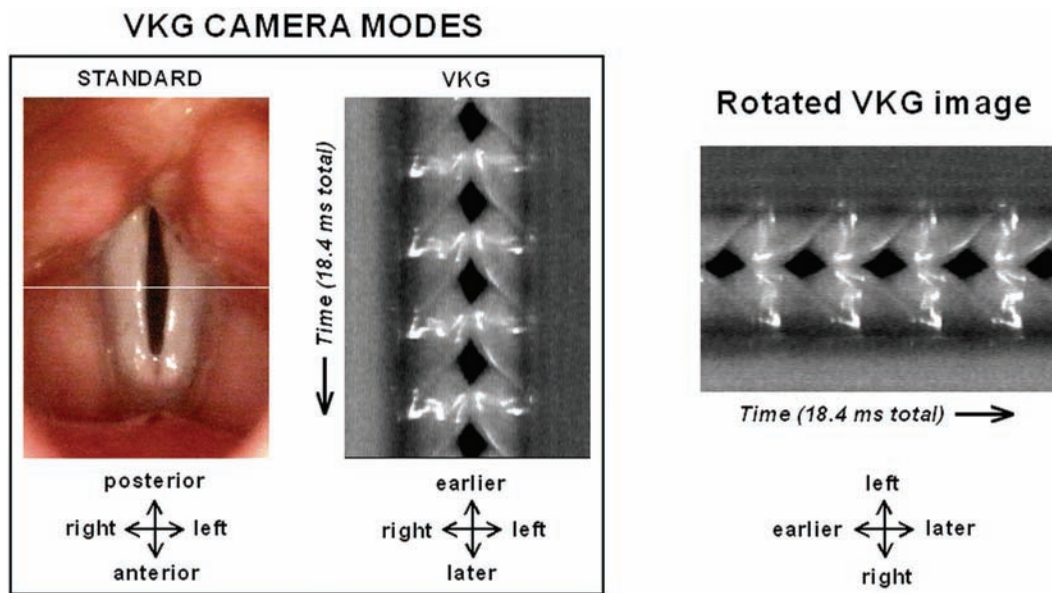
Instruments designed specifically to quantify voice production are increasingly available. Clinical studies have documented the effectiveness of these instrumental measures for monitoring treatment effectiveness. Although voice testing has not taken on the aura or routine nature of the audiogram, it has matured to the point that it no longer seems acceptable to describe the voice by merely listening to the patient phonate or inspecting the larynx with a mirror and standard light source. The evolution of voice testing is in its infancy. Future development is likely to unfold similarly to audiometric testing, with normative data and standards for test-

ing and routine measures likely to be developed and imposed on voice clinicians, to ensure quality of service of the patient. International standards committees have already been formed and have presented preliminary recommendations. Data collected from voice instruments are likely to improve understanding of the physiologic and anatomic aspects of voice production as well as the pathogenesis of various laryngeal diseases.

In this century, with rising health care costs and moves to contain them, clinicians are likely to be faced with tough choices concerning equipment selection. They would do well to be guided by a few simple questions: Does the instrument cover the entire range of production seen in children and adults with normal and disordered voice; does the instrument provide simultaneous measures of at least 2 parameters of vocal function; do the resultant data have a unique value that has direct clinical application; are the derived measures both valid and reliable; are the derived measures necessary to further



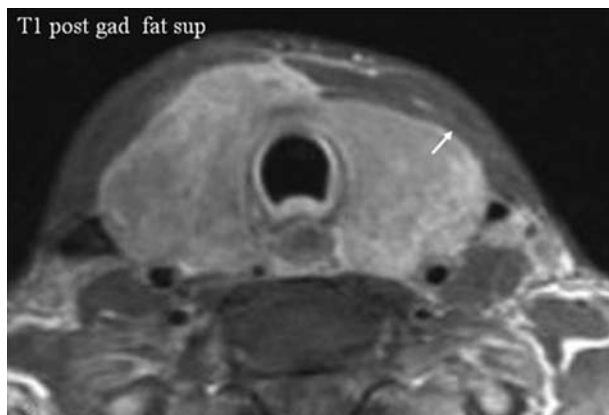
**Fig 15-1.** Equipment setup in videokymography.



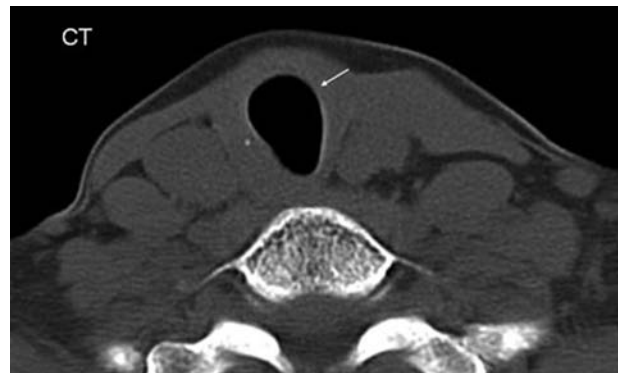
**Fig 15-2.** Two modes of the videokymographic camera. The measuring position for the VKG image is marked by the line in the standard image; the videokymogram displays the vibratory pattern of the middle part of the vocal folds. On the very right, the VKG image is rotated to show the time along horizontal axis. The investigated subject was a female with normal vocal folds phonating with moderate effort at the frequency of about 250 Hz.

delivers kymographic images. These are obtained by putting together many (almost 150) successive images from the same line recorded at a high-speed rate (almost 8000 line images per second).

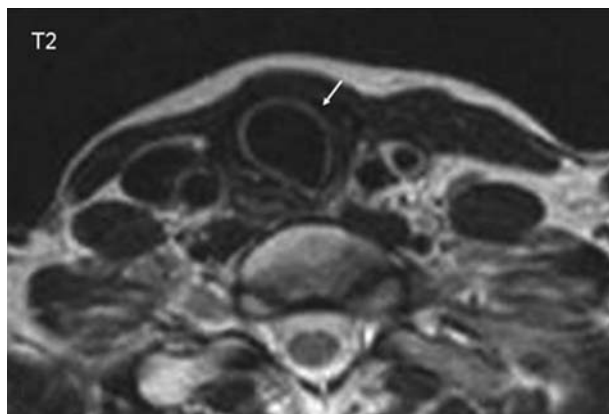
These kymographic images, which are composed and delivered by the videokymographic camera in real time, are called “videokymographic images” or simply “videokymograms.” During phonation,



a



b



c

**Fig 16–8.** MRI soft tissue resolution: advantageous for cartilage visualization. (a) Patient with large thyroid carcinoma surrounding trachea and cricoid cartilages. (a) Postgadolinium T1 fat suppressed image identifies cartilaginous tissue as well as accentuates tumor enhancement and its interface with adjacent tissues (*arrow*). (b, d) Different patient, post-thyroidectomy with residual tracheomalacia. (b) axial unenhanced CT incompletely identifies tracheal cartilage (*arrow*) (c) Axial T2 image better displays cartilage structure, its thickness and continuity, and relationship to the tracheal lumen and the thyroid bed tissues.

and the arytenoids can be visualized superior to the cricoid cartilage.

Coronal views are ideal for assessing the superior to inferior extent of neoplasms. In particular, the mucosal and submucosal extent of disease not apparent clinically may be assessed, which is particularly useful for assessment of subglottic extension of disease. The high signal of the fatty tissue in the normal paraglottic space offers an excellent anatomic landmark on coronal and axial T1W sequences. If the ventricle is not seen well, the false cord can be differentiated from the true cord on T1W images by the fatty signal of the false cord versus the intermediate density thyroarytenoid muscle signal of the true cord.

Axial views are best for evaluating laryngeal cartilage invasion but the appearance is quite variable depending on the degree of ossification. Ossified cartilage, which has medullary fat, will have a bright signal on T1W and FSE T2 images and a

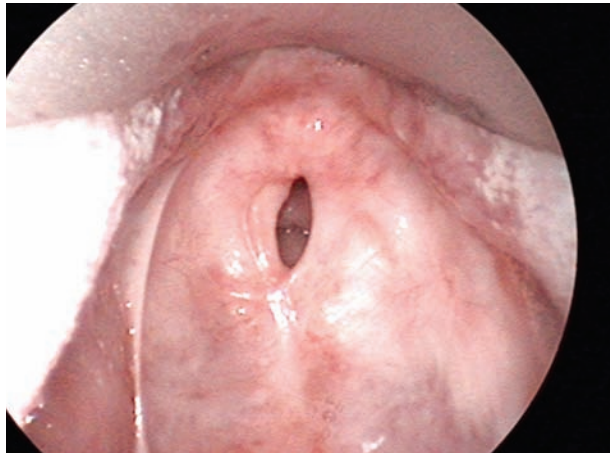
lower (darker) signal on conventional spin echo (CSE) T2W images and is excellent indicator for the lack of tumor involvement of that cartilage. Nonossified cartilage is usually of low signal on both T1W and T2W sequences. Ossified cartilage cortex looks black (hypointense or low signal) on both sequences. The cervical lymph nodes can be assessed on either sequence, but are more easily visualized on the T2 or post gadolinium T1 sequences.

### COMPUTED TOMOGRAPHY VERSUS MAGNETIC RESONANCE IMAGING<sup>36,37,43,49,50</sup> (See Fig 16–9)

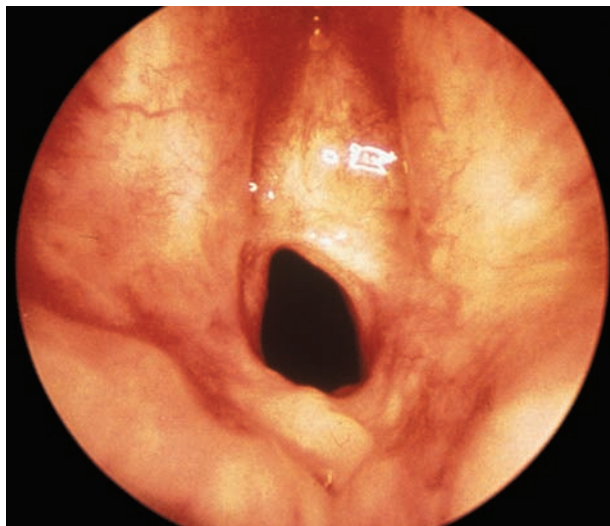
The advantages of CT, in general, include greater availability or accessibility, greater patient acceptance or compliance, lower cost, faster image acquisition

seen in Figure 27-4, other causes of supraglottic stenosis are related to infections of the supraglottic and epiglottic tissue and cartilage complicated by the trauma of intubation.

Acquired glottic stenosis occurs in the anterior commissure or the posterior glottis in the intra-arytenoid region. Anterior commissure stenosis is usually the result of an iatrogenic injury such as operating on both sides of the anterior commissure at the same time leading to scarring. As seen in Figure 27-5,



**Fig 27-4.** Supraglottic stenosis involving the epiglottis, intra-arytenoid and aryepiglottic fold region in a child following a bacterial infection of the epiglottitis.



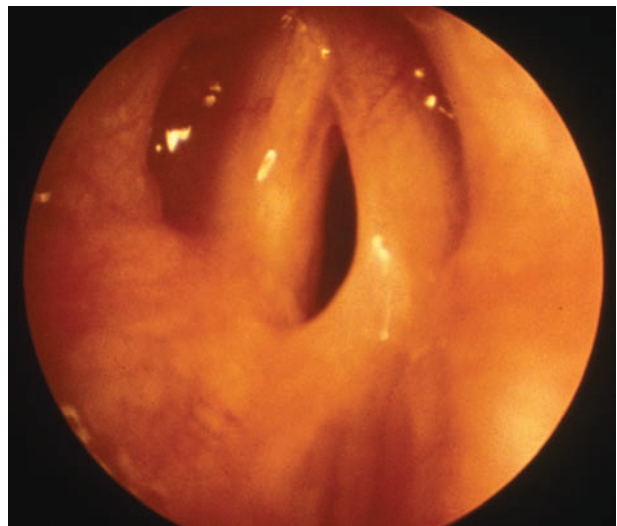
**Fig 27-5.** Anterior glottic web formation after overly aggressive laryngeal papilloma surgery.

in the pediatric patient population, the likely cause is overly aggressive removal of laryngeal papilloma in the anterior commissure region.

The etiology of posterior glottic stenosis in children is usually attributed to prolonged intubation<sup>27-29</sup> as seen in Figure 27-6. Although posterior glottic stenosis is often seen in conjunction with subglottic stenosis, it also occurs as an isolated condition. Other causes of posterior glottic stenosis are related infection, inflammatory conditions, neoplasms, and trauma and are outlined in Table 27-2. Transglottic stenosis is rare and unfortunate. Etiology is similar to posterior glottic stenosis.

## EVALUATION AND MANAGEMENT

Symptoms of glottic and subglottic stenosis relate to airway, voice, and swallowing. The evaluation of a child with suspected glottic or subglottic stenosis varies based on the acuity and status of the airway, and depends on whether the child is intubated, unintubated, or already has a tracheotomy. An acutely obstructed child is approached much differently than a stable child with a tracheotomy or an intubated child. Stridor is the most common symptom



**Fig 27-6.** Posterior glottic stenosis from prolonged intubation in a patient with pathologic GERD.

describes a ratchetlike sensation felt during passive flexion extension of the upper limb or neck. Bradykinesia refers to slowness of voluntary movement. When this affects the muscles of facial expression, it yields a characteristic lack of facial affect described in the medical literature as “mask-like.” The integrity of postural reflexes can be easily evaluated by asking the patient to walk 3 or 4 paces and turn 180 degrees. Affected patients will not be able to pivot, but instead will take several steps to rotate their body.

The underlying pathology is neuronal loss in the substantia nigra and consequent loss of dopamine in the basal ganglia. The mainstay of treatment remains dopamine replacement, augmented by agents to boost transit of levodopa across the blood-brain barrier, and other dopamine receptor agonists that have been developed in recent years. The aim is symptomatic relief, and the underlying disease remains relentlessly progressive. Stimulation of deep brain nuclei by means of a surgically implanted electrode is gaining popularity in incapacitating cases of parkinsonism that resist medical management.

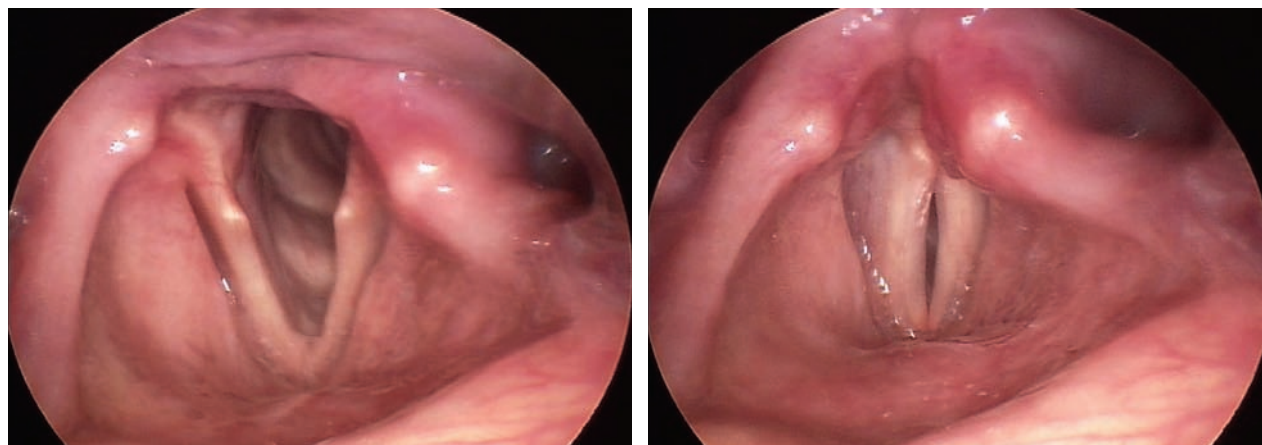
### *Parkinson Hypophonia*

The characteristic low-intensity monotone voice of patients with PD has been termed Parkinson hypophonia. The laryngoscopic correlates of Parkinson hypophonia include vocal fold bowing (spindle-

shaped glottic insufficiency) (Fig 34–2), vocal fold bradykinesia, and tremor.<sup>6–8</sup> These laryngeal abnormalities usually coexist with oral-motor articulatory difficulties and poor respiratory function and coordination, both reflections of underlying rigidity and bradykinesia. These are not infrequently accompanied by cognitive dysfunction.<sup>9–13</sup> In addition, underestimation of own-speech volume is a perceptual anomaly that has been found consistently in patients with Parkinson hypophonia, and may be a critical derangement in this type of dysphonia.<sup>14,15</sup>

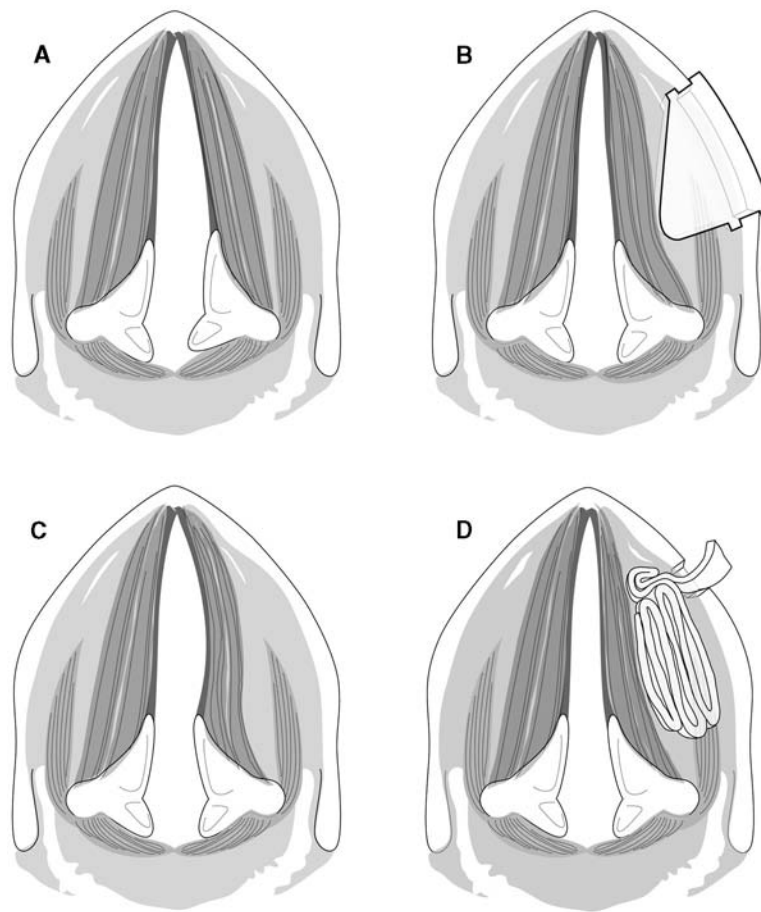
Standard medical treatment with levodopa generally improves speech and voice difficulties in PD.<sup>16–18</sup> Early enthusiasm for vocal fold augmentation to remedy the observed glottic insufficiency<sup>19,20</sup> has been tempered by an understanding for the broader range of abnormalities involved in Parkinson hypophonia; only in the carefully selected patient without severe respiratory or articulatory dysfunction is augmentation likely to offer appreciable benefit. Deep brain stimulation (DBS) has been shown to be most effective in tremor suppression, but evidence for practical voice and speech benefit from this procedure remains equivocal.

The most effective intervention, apart from systemic medication, may be behavioral therapy. Lee Silverman Voice Therapy (LSVT) is an intensive course of behavioral therapy that aims to increase phonatory effort to overcome the impaired self-perception of loudness and appears to result in



**Fig 34–2.** Best phonatory glottal closure is shown at right in this 56-year-old attorney with Parkinson's disease. Glottic insufficiency is exacerbated by early vocal fold atrophy. Symptoms were typical of Parkinson hypophonia, and the patient improved with voice therapy.





**Fig 40-3.** Two prototypical approaches to window placement are shown. This figure illustrates the use of a prosthesis such as the Montgomery or some hand-carved Silastic patterns. Correction of the glottic insufficiency (**A**) includes some medialization of the vocal process when the shim is placed (**B**). The use of Gore-Tex is represented in a similar sequence (**C**, **D**) demonstrating correction of vocal fold bowing.

windows, regardless of the type of implant or formula one chooses to follow. The most critical landmark is a horizontally oriented line extending from the midway point of the thyroid cartilage ventrally and extending dorsally parallel to the inferior plane of the cartilage. We first measure the distance from the thyroid notch to the inferior margin (approximately 20 mm in males and 15 mm in females). The site of the anterior commissure is estimated to be half this distance and there is often a small depression in the cartilage at this point. The plane of the superior edge of the vocal fold corresponds to the horizontal line projected posteriorly from this point

(Fig 40-4). When landmarks are obscured by surgery or trauma it is easy to confirm this level by inserting a needle and observing intraluminal placement with the endoscope<sup>19</sup> or using a lacrimal probe through a small pilot hole.<sup>20</sup> For the typical Silastic carved or preformed prosthesis, a rectangular window is marked out on the surface of the thyroid cartilage such that the superior edge is at the projected line of the vocal fold (Fig 40-4). It is important to keep the window as inferior as possible while preserving at least 3 mm of cartilage along the inferior rim for support. The anterior edge should be 6 to 10 mm posterior to the ante-



**Fig 43–2.** Bacterial mucopus from sinobronchial sources draping into the larynx.



**Fig 43–3.** Bacterial superinfection on chronic laryngitis sicca.

therapeutic vocal fold injection, and other laryngeal trauma.<sup>12,43</sup>

Chronic laryngitis sicca with superinfection is another challenging problem to treat. These patients tend more often to be elderly with multiple medical problems, and consequently multiple medications that may result in mucosal drying. As a result, epithelial defenses are compromised and low-grade bacterial superinfection may take place, particularly in association with stagnant secretions overlying the dry mucosa (Fig 43–3). The normal function of the larynx with mucus lubrication, mucosal protection, and the rate of airflow traversing the larynx is compromised in such individuals. Consequently, the conditions are more favorable for the creation of biofilms, which may explain why these patients are more often refractory to treatment.

Common and uncommon bacterial pathogens causing laryngitis are listed in Table 43–2. The following discussion focuses on acute epiglottitis/supraglottitis which still may be encountered as a life-threatening entity, as well as a synopsis of other uncommon bacterial infections of the larynx.

### Acute Epiglottitis

Epiglottitis has been traditionally felt to be a sequel to infection of Waldeyer's ring or to a traumatic event, supported by case reports that describe a

**Table 43–2.** Bacterial Infections in the Larynx

Common pathogens	<i>Streptococcus pneumoniae</i> <i>Streptococcus pyogenes</i> <i>Haemophilus influenzae</i> <i>Klebsiella pneumoniae</i> <i>Neisseria gonorrhoeae</i> <i>Branhamella catarrhalis</i> Fusobacterium and other anaerobes <i>Staphylococcus aureus</i>
Less common pathogens	<i>Corynebacterium diphtheriae</i> <i>Francisella tularensis</i> <i>Mycobacterium tuberculosis</i> <i>Mycobacterium leprae</i> (leprosy) <i>Treponema pallidum</i> (syphilis) Actinomycoses <i>Salmonella typhi</i> <i>Proteus</i> spp. <i>Pseudomonas aeruginosa</i> <i>Klebsiella rhinoscleromatis</i> <i>Pseudomonas mallei</i> <i>Nocardia</i>

history of preceding pharyngitis or upper respiratory symptoms.<sup>44</sup> The organism most commonly associated with epiglottitis is *Haemophilus influ-*

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# CONTRIBUTORS TO VOLUME II



## **Byron J. Bailey, MD**

Chairman Emeritus  
Department of Otolaryngology  
University of Texas Medical Branch  
Galveston, Texas  
*Chapter 35*

## **E. Leon Barnes, MD, FASCP, FCAP**

Professor of Pathology and Otolaryngology  
University of Pittsburgh School of Medicine  
Chief, Division of Head and Neck/Endocrine  
Pathology  
University of Pittsburgh Medical Center  
Professor of Oral and Maxillofacial Pathology  
University of Pittsburgh School of Dental  
Medicine  
Pittsburgh, Pennsylvania  
*Chapter 12*

## **Thomas J. Belbin, PhD**

Assistant Professor  
Department of Pathology  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 42*

## **Boris L. Bentsianov, MD**

Department of Otolaryngology-Head and Neck  
Surgery  
Assistant Professor,  
SUNY Downstate Medical Center  
Director,  
Division of Laryngology Voice and Swallowing  
Brooklyn, New York  
*Chapter 41*

## **Simon R. A. Best, MD**

Department of Otolaryngology-Head and Neck  
Surgery  
Johns Hopkins Hospital  
Baltimore, Maryland  
*Chapter 6*

## **Andrew Blitzer, MD, DDS**

Director, New York Center for Voice and  
Swallowing Disorders at St. Luke's-Roosevelt  
Hospital and the Head and Neck Surgical Group  
New York, New York;  
Professor of Clinical Otolaryngology  
College of Physicians and Surgeons of Columbia  
University  
New York, New York  
*Chapters 3 and 4*

## **Jennifer M. Bocker, MD**

Head and Neck Service  
Memorial Sloan-Kettering Cancer Center  
New York, New York  
*Chapter 26*

## **Boudewijn J.M. Braakhuis, PhD**

Department of Otolaryngology-Head and Neck  
Surgery  
VU University Medical Center  
Amsterdam, The Netherlands  
*Chapter 36*

## **Carol R. Bradford, MD, FACS**

Professor and Associate Chair  
Clinical Programs and Education  
Department of Otolaryngology-Head and Neck  
Surgery

University of Michigan  
Ann Arbor, Michigan  
*Chapter 17*

**Patrick J. Bradley, MB, BCh, BAO, DCH, FRCSI, FRCSEd, FRCS, FHKCORL, FACS, FRCSLT (Hon), FRACS (Hon), MBA**  
Professor of Head and Neck Oncologic Surgery  
The University of Nottingham  
Nottingham University Hospitals  
Queens Medical Centre Campus  
Nottingham  
England  
*Chapter 20*

**Margaret S. Brandwein-Gensler, MD**  
Professor of Pathology and Otolaryngology  
Montefiore Medical Center  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 18*

**Daniel F. Brasnu, MD**  
Professor and Chief of Department  
Department of Otolaryngology Head and Neck  
Surgery  
European Georges Pompidou Hospital, AP-HP  
Voice, Biomaterials and Head and Neck Oncology  
Research Laboratory  
University René Descartes Paris V  
Paris, France  
*Chapter 24*

**Cristina Isabel Cann, MPH**  
Consultant Epidemiologist  
Brookline, Massachusetts  
*Chapter 14*

**Thomas E. Carey, PhD**  
Professor and Distinguished Research Scientist  
Otolaryngology/ Head and Neck Surgery  
University of Michigan, Ann Arbor  
Ann Arbor, Michigan  
*Chapter 17*

**Seth M. Cohen, MD, MPH**  
Assistant Professor  
Duke Voice Care Center  
Duke Otolaryngology-Head and Neck Surgery  
Durham, North Carolina  
*Chapter 11*

**Mark S. Courey, MD**  
Professor, UCSF  
Department of Otolaryngology- Head and Neck  
Surgery  
Director, Division of Laryngology  
San Francisco, California  
*Chapter 11*

**Edward J. Damrose, MD, FACS**  
Chief  
Division of Laryngology  
Department of Otolaryngology-Head and Neck  
Surgery  
Stanford University Medical Center  
Palo Alto, California  
*Chapter 8*

**Indranil Debnath, MD**  
Department of Otolaryngology-Head and Neck  
Surgery  
Washington University School of Medicine  
St. Louis, Missouri  
*Chapter 7*

**Kenneth O. Devaney MD, JD, FCAP**  
Chair, Department of Pathology  
Allegiance Health  
Jackson, Michigan  
*Chapter 30*

**Frederik G. Dikkers, MD, PhD**  
Professor  
Department of Otorhinolaryngology  
University Medical Center Groningen  
University of Groningen  
Groningen  
The Netherlands  
*Chapter 10*

**Adel K. El-Naggar, MD, PhD**  
Professor of Pathology  
The University of Texas  
MD Anderson Cancer Center  
Houston, Texas  
*Chapter 37*

**Alfio Ferlito, MD, DLO, DPath, FRCSEd ad  
bominem, FRCS (Eng, Glasg, Ir) ad eundem,  
FDSRCS ad eundem, FACS, FHKCORL,  
FRCPath, FASCP, MCAP**  
Director of the Department of Surgical Sciences  
Professor and Chairman of the ENT Clinic



University of Udine School of Medicine  
Udine, Italy  
*Chapters 20, 21, 22, 28, 30, 35, 38, 39*

**Ramon Arturo Franco, Jr, MD**

Director, Division of Laryngology  
Assistant Professor, Department of Otolaryngology and Laryngology  
Harvard Medical School  
Medical Director, Voice and Speech Laboratory  
Massachusetts Eye and Ear Infirmary  
Boston, Massachusetts  
*Chapter 12*

**Marvin P. Fried, MD, FACS**

Professor and University Chairman  
Department of Otolaryngology-Head and Neck Surgery  
Montefiore Medical Center  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapters 14, 23, 24, 34*

**Madhur K. Garg, MD**

Associate Professor and Clinical Director  
Department of Radiation Oncology  
Montefiore Medical Center/  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 32*

**C. Gaelyn Garrett, MD**

Medical Director, Associate Professor  
Vanderbilt Voice Center  
Nashville, Tennessee  
*Chapter 11*

**Douglas R. Gnepp, MD**

Professor of Pathology  
Warren Alpert School of Medicine at Brown University  
Senior Pathologist  
Rhode Island Hospital Department of Pathology  
Providence, Rhode Island  
*Chapter 18*

**Raj K. Goyal, MD**

Mallinckrodt Professor of Medicine  
Harvard Medical School  
VA Boston Healthcare System  
Boston, Massachusetts  
*Chapter 1*

**Missak Haigentz, Jr, MD**

Division of Oncology  
Department of Medicine  
Albert Einstein College of Medicine/  
Montefiore Medical Center  
Bronx, New York  
*Chapter 33*

**Dana M. Hartl, MD, PhD**

University Paris III CNRS 7018  
Otolaryngology Head and Neck Surgery  
Institut Gustave Roussy  
Villejuif, France  
*Chapter 24*

**Yasuo Hisa, MD, PhD**

Professor and Chairman  
Department of Otolaryngology-Head and Neck Surgery  
Kyoto Prefectural University of Medicine  
Kyoto, Japan  
*Chapter 31*

**John K. Joe, MD**

Assistant Professor  
Section of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut  
*Chapter 6*

**Shalom Kalnicki, MD, FACRO**

Professor and Chairman  
Department of Radiation Oncology  
Montefiore Medical Center  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 32*

**James H. Kelly, MD, FACS**

Chairman,  
Department of Otolaryngology-Head and Neck Surgery  
Greater Baltimore Medical Center  
Associate Professor,  
Department of Otolaryngology-Head and Neck Surgery and  
Department of Neurology  
Johns Hopkins Medical Institutes  
Baltimore, Maryland  
*Chapter 2*

**Peter D. Lacy, MD, FRCSI**

Dept. Otolaryngology, Head and Neck Surgery  
Beaumont Hospital  
Dublin, Ireland  
*Chapter 19*

**Kevin P. Lahey, MD, PhD**

Neurolaryngology Fellow  
Department of Otolaryngology, Head and Neck  
Surgery  
Johns Hopkins School of Medicine  
Greater Baltimore Medical Center  
Baltimore, Maryland  
*Chapter 2*

**C. René Leemans, MD, PhD**

Department of Otolaryngology-Head and Neck  
Surgery  
VU University Medical Center  
Amsterdam, The Netherlands  
*Chapter 36*

**Robert R. Lorenz, MD**

Head, Section of Head and Neck Surgery  
Assistant Professor of Surgery, Cleveland Clinic  
Lerner College of Medicine of Case  
Western Reserve University  
The Cleveland Clinic Foundation  
Cleveland, Ohio  
*Chapter 40*

**Frank E. Lucente, MD, FACS**

Vice Dean for Graduate Medical Education and  
Professor of Otolaryngology  
SUNY-Downstate Medical Center  
Chief of Academic Affairs  
Long Island College Hospital  
Brooklyn, New York  
*Chapter 41*

**Panna S. Mahadevia, MD**

Associate Professor of Pathology  
Albert Einstein College of Medicine  
Attending Pathologist  
Montefiore Medical Center  
Bronx, NY  
*Chapter 18*

**David L. Mandell, MD, FAAP, FACS**

Division of Pediatric Otolaryngology  
Children's Hospital of Pittsburgh  
Department of Otolaryngology

University of Pittsburgh  
Pittsburgh, Pennsylvania  
*Chapter 5*

**Sandra B. Martin, MS, CCC-SLP**

Research Speech Pathologist  
National Institutes of Health  
National Institute of Neurological Disorders and  
Stroke  
Laryngeal and Speech Section  
Bethesda, Maryland  
*Chapter 1*

**Jesus E. Medina, MD, FACS**

Paul and Ruth Jonas Professor and Chair  
Department of Otorhinolaryngology  
University of Oklahoma Health Sciences Center  
Oklahoma City, Oklahoma  
*Chapter 29*

**Vanni Mondin, MD, PhD**

Clinical and Research Fellow in Otolaryngology  
Department of Surgical Sciences  
ENT Clinic  
University of Udine School of Medicine  
Udine, Italy  
*Chapter 30*

**Yasushi Murakami, MD, PhD**

Head, Kyoto Gakusai Institute of Medicine,  
Emeritus Professor, Kyoto Prefectural  
University of Medicine  
Kyoto, Japan  
*Chapter 31*

**Eugene M. Myers, MD, FACS, FRCSEd (Hon)**

Distinguished Professor and Emeritus Chair  
Department of Otolaryngology  
University of Pittsburgh School of Medicine  
The Eye and Ear Institute  
Pittsburgh, Pennsylvania  
*Chapter 12*

**Jeffrey N. Myers, MD, PhD, FACS**

Professor and Director of Research  
Deputy Chair for Academic Programs  
Department of Head and Neck Surgery  
The University of Texas  
MD Anderson Cancer Center  
Houston, Texas  
*Chapter 12*

**J. Pieter Noordzij, MD**

Associate Professor  
Department of Otolaryngology-Head and Neck  
Surgery  
Boston University Medical Center  
Boston, Massachusetts  
*Chapter 11*

**Jan Olofsson, MD**

Haukeland University Hospital  
Department of Otolaryngology-Head and Neck  
Surgery  
Bergen, Norway  
*Chapter 38*

**Robert H. Ossoff, DMD, MD**

Assistant Vice-Chancellor for Compliance and  
Corporate Integrity  
Guy M. Maness Professor of Laryngology and Voice  
Executive Medical Director  
Vanderbilt Voice Center  
Nashville, Tennessee  
*Chapter 11*

**Randal C. Paniello, MD**

Department of Otolaryngology-Head and Neck  
Surgery,  
Washington University School of Medicine  
St. Louis, Missouri  
*Chapter 7*

**Jay F. Piccirillo, MD, FACS**

Professor and Director  
Clinical Outcomes Research Office  
Department of Otolaryngology-Head and Neck  
Surgery  
Washington University School of Medicine  
Attending Physician  
Barnes-Jewish Hospital  
St. Louis Children's Hospital  
St. Louis, Missouri  
*Chapter 19*

**Michael B. Prystowsky, MD, PhD**

Professor and University Chairman  
Department of Pathology  
Albert Einstein College of Medicine  
Montefiore Medical Center  
Bronx, New York  
*Chapter 42*

**William J. Richtsmeier, MD, PhD**

Director, Regional Cancer Center  
Bassett Healthcare  
Otolaryngology-Head and Neck Surgery  
Cooperstown, New York  
*Chapter 16*

**Alessandra Rinaldo, MD, FRCSI *ad eundem*,  
FACS**

Clinical Professor of Otolaryngology  
Department of Surgical Sciences  
ENT Clinic  
University of Udine School of Medicine  
Udine, Italy  
*Chapters 20, 21, 22, 28, 30, 35, 39*

**Douglas A. Ross, MD**

Professor, Vice-Chief  
Section of Otolaryngology  
Yale University School of Medicine  
New Haven, Connecticut  
*Chapter 6*

**Umberto Saffiotti, MD**

Scientist Emeritus,  
National Cancer Institute, National Institutes of  
Health  
Bethesda, Maryland  
*Chapter 15*

**Clarence T. Sasaki, MD**

The Charles W. Ohse Professor  
Chief Section of Otolaryngology  
Director Head and Neck Unit  
Yale Comprehensive Cancer Center  
Yale University School of Medicine  
New Haven, Connecticut  
*Chapter 6*

**Keith G. Saxon, MD, FACS**

Assistant Professor of Otolaryngology and  
Laryngology  
Harvard Medical School  
Brigham and Women's Hospital  
Surgical Oncologist, Dana Farber Cancer Institute  
Boston, Massachusetts  
*Chapter 1*

**Bradley A. Schiff, MD**

Department of Otorhinolaryngology-Head and  
Neck Surgery

Albert Einstein College of Medicine, Montefiore  
Medical Center  
Bronx, New York  
*Chapters 25, 34*

**Nicolas F. Schlecht, PhD**

Assistant Professor  
Departments of Epidemiology and Population  
Health and Medicine  
Albert Einstein College of Medicine  
Bronx, New York  
*Chapter 42*

**Jerome S. Schwartz, MD**

The Feldman ENT Group, P.C.  
Volunteer Clinical Faculty Otolaryngology  
Georgetown University Hospital  
Washington, D.C.  
*Chapter 4*

**Jatin P. Shah, MD, MS, FACS, FRCS Ed (Hon),  
FRACS (Hon)**

Head and Neck Service  
Memorial Sloan-Kettering Cancer Center  
New York, New York  
*Chapter 26*

**Jo Shapiro, MD, FACS**

Chief, Division of Otolaryngology  
Brigham and Women's Hospital  
Associate Professor of Otolaryngology  
Harvard Medical School  
Boston, Massachusetts  
*Chapter 1*

**Larry J. Shemen, MD, FRCS (C), FACS**

Associate Clinical Professor of  
Otorhinolaryngology  
Weill Medical College, Cornell University  
Chief, Head and Neck Service  
New York Hospital, Queens  
Attending Surgeon,  
Lenox Hill Hospital, Manhattan Eye Ear Throat  
Hospital  
St. Vincent's Medical Center  
New York, New York  
*Chapter 9*

**Carl E. Silver, MD, FACS**

Professor Emeritus  
Departments of Surgery and Otolaryngology/Head  
and Neck Surgery

Albert Einstein College of Medicine  
Bronx, New York  
*Chapters 21, 22, 28*

**Richard V. Smith, MD, FACS**

Associate Professor and Vice-Chair  
Department of Otorhinolaryngology-Head and  
Neck Surgery  
Albert Einstein College of Medicine  
Director, Head and Neck Service  
Montefiore Medical Center  
Bronx, New York  
*Chapters 21, 22, 23, 25, 34, 42*

**Phillip C. Song, MD**

Otology and Laryngology  
Harvard Medical School  
Massachusetts Eye and Ear Infirmary  
Boston, Massachusetts  
*Chapters 3, 4*

**J. Gershon Spector, MD, FACS**

Professor  
Department of Otolaryngology and Head and  
Neck Surgery  
Division of Head and Neck Surgery  
Washington University School of Medicine  
St. Louis, Missouri  
*Chapter 7*

**Celia F. Stewart, PhD, CCC-SLP**

Associate Professor  
Department of Speech-Language Pathology and  
Audiology  
New York University  
New York, New York  
*Chapter 3*

**James J. Strain, MD**

Professor of Psychiatry  
Division of Behavioral Medicine and Consultation  
Psychiatry  
Mount Sinai School of Medicine  
New York, New York  
*Chapter 41*

**Marshall Strome, MD, MS, FACS**

Director, Center for Head and Neck Oncology  
Co-Director, Head and Neck Transplantation  
Program, Center for Facial Reconstruction  
New York Head and Neck Institute  
New York, New York  
*Chapter 40*

**Lucian Sulica, MD**

Associate Professor  
Director, Voice Disorders/Laryngology  
Department of Otorhinolaryngology  
Weill Cornell Medical College  
New York, New York  
*Chapter 13*

**Mai Thy Truong, MD**

Department of Otolaryngology-Head and Neck  
Surgery  
Stanford University Medical Center  
Stanford, California  
*Chapter 8*

**Jared M. Wasserman, MD**

Fellow, Division of Laryngology  
Department of Otolaryngology Head and Neck  
Surgery  
Massachusetts Eye and Ear Infirmary  
Harvard Medical School  
Boston, Massachusetts  
*Chapter 41*

**Ranny van Weissenbruch, MD, PhD**

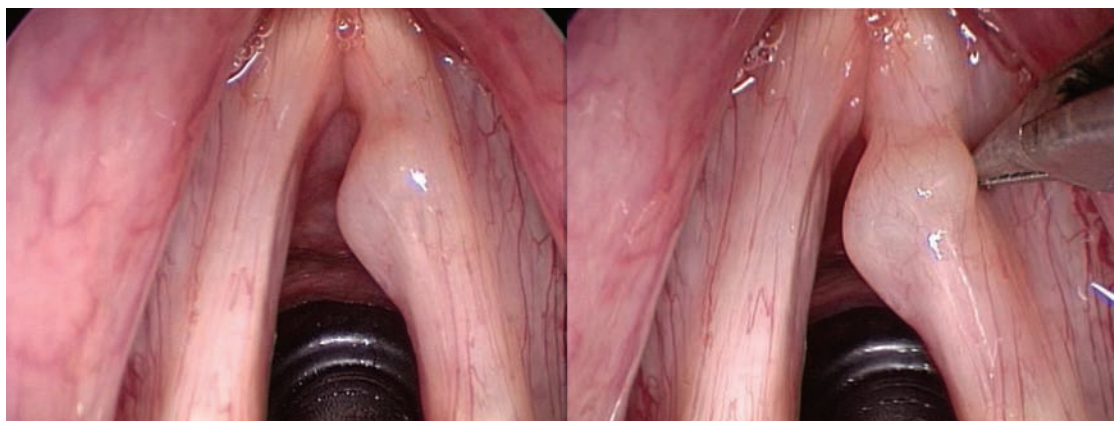
Wilhelmina Hospital Assen  
Department of Otorhinolaryngology  
Assen, The Netherlands  
*Chapter 27*

**Robert F. Yellon, MD**

Associate Professor of Otolaryngology  
University of Pittsburgh School of Medicine  
Co-Director  
Director of ENT Clinical Services  
Division of Pediatric Otolaryngology  
Children's Hospital of Pittsburgh  
Pittsburgh, Pennsylvania  
*Chapter 5*

**Katherine C. Yung, MD**

Department of Otolaryngology-Head and Neck  
Surgery  
Barnes-Jewish Hospital and Washington University  
School of Medicine  
St. Louis, Missouri  
*Chapter 19*



**Fig 13–8.** Gentle pressure on the lateral aspect of the vocal fold can evert the vocal fold and expose the caudal portion of the lesion to view.

endeavor is that, although primarily concerned with restoration of mucosal pliability, it is carried out on the static vocal fold, without any means of assessing vibratory function intraoperatively. A new instrument to accomplish this task has been proposed,<sup>36</sup> but its practical utility remains to be determined.

### *Subepithelial Injection*

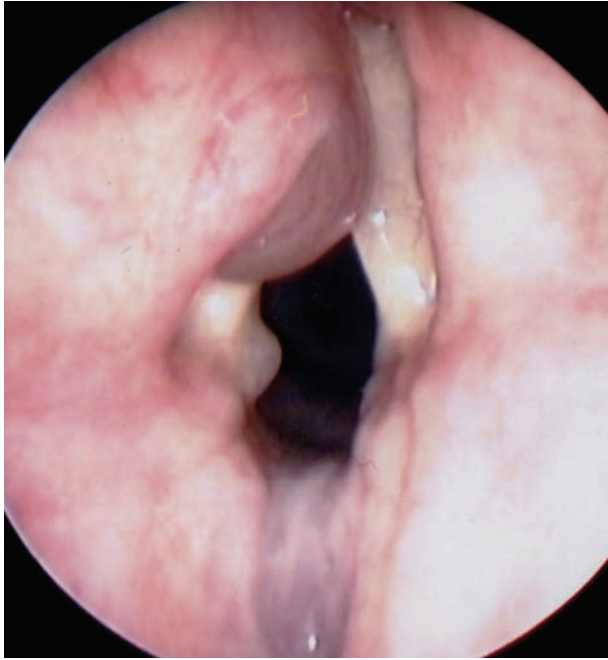
Kass et al<sup>37</sup> have formally described the useful technique of subepithelial injection into the vocal fold. Such an injection facilitates subepithelial dissection by expanding tissue planes, and, when a hemostatic agent like epinephrine is used, by aiding in hemostasis. It also serves to better delineate pathology in cases where the lamina propria is obliterated, as in scar sulcus, and tumor invasion. Infiltration is easily performed with specially designed needles, or by means of a trimmed, 25-gauge or smaller butterfly needle.

### *Surgical Approach*

The surgical approach in a given case is determined by the relation of the lesion to the layered vocal fold microanatomy, and informed by the appearance of the lesion at stroboscopy. The surgeon should always be guided by the principal of maximal preservation of normal tissue, and its corollary of minimal handling and disruption of uninvolved

tissue. There is certainly no place for the removal of uninvolved epithelium as “margins” in nonmalignant lesions of the vocal fold. In certain circumstances, for example, when there are mild to moderate reactive changes contralateral to the primary lesion, there is a good case to be made for leaving small irregularities undisturbed.

Most benign lesions of the vocal fold arise within the superficial lamina propria. Microflap surgery, the dominant surgical paradigm in endolaryngeal microsurgery, offers subepithelial access for removal of these lesions and preserves overlying tissue. Since the widespread adoption of microflap approaches, it has become common to speak of the superficial lamina propria as the natural plane of dissection in microlaryngoscopic surgery. Unfortunately, this has created the impression that disruption of this layer is somehow free of consequences. In fact, the contrary is probably true; it is the single most important layer for phonatory vibration. Its loose structure causes it to cleave readily, a tendency that offers the surgeon a path of low resistance. Preventing undue separation of this layer beyond the minimal requirements for lesion excision is a challenge of endolaryngeal microsurgery. Epithelial incisions, once made quite laterally to avoid trauma to the epithelium of the vibratory margin,<sup>38,39</sup> have tended to be placed closer to the lesion to minimize the amount of superficial lamina propria which must be disturbed to reach the pathology.<sup>40</sup>

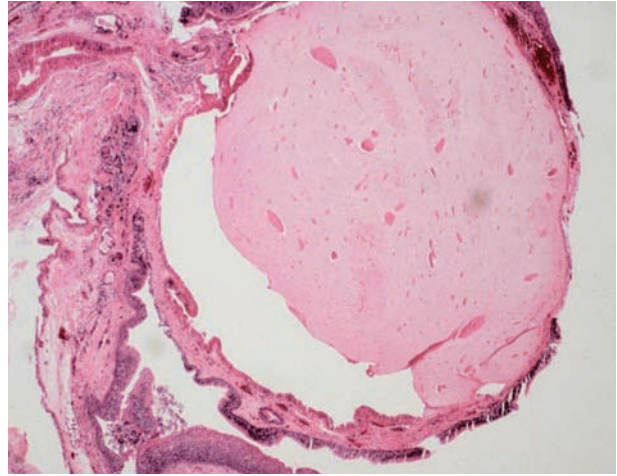


**Fig 18–10.** Saccular cyst.

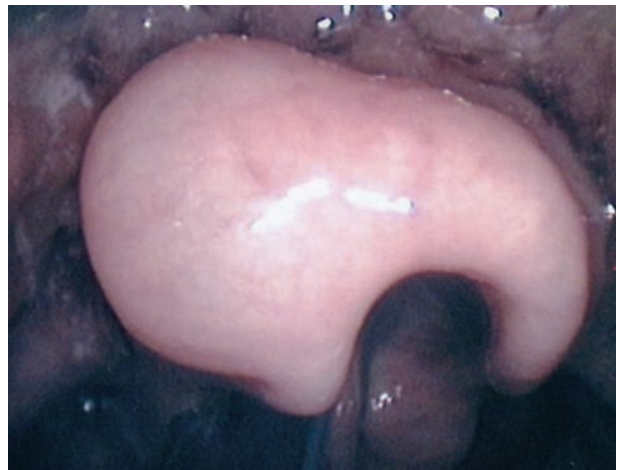
lateral, and herniates through the thyrohyoid membrane. The differential diagnosis of saccular cysts may also include a branchial cleft cyst. The anatomic location of the duct or tract will also aid in the distinction. The tract of a branchial cleft cyst will not lead through the thyrohyoid membrane, but will continue superiorly along the anterior border of the sternocleidomastoid muscle and may end at the angle of the mandible or in the tonsillar bed.

### *Ductal Cysts*

Squamous, “tonsillar” and oncocytic laryngeal cysts can be the result of blockage of a minor salivary gland duct. As mentioned, this is the most commonly encountered type of laryngeal cyst. The cyst lining is actually the dilated ductal epithelium; it may be squamous, oncocytic (see subsequent discussion on salivary lesions) or squamous with surrounding lymphoid stroma—referred to as “tonsillar” cysts (Fig 18–11). Squamous cysts and oncocytic cysts have a predisposition for the ventricular bands, ventricle, aryepiglottic folds, and epiglottis. Tonsillar cysts have a predisposition for the vallecula—an area



**Fig 18–11.** Epiglottic cyst, the result of cystic dilation of a duct.



**Fig 18–12.** A ductal cyst.

with tonsillar remnants. Simple conservative excision is curative.

### *Other Laryngeal Cysts and Sinuses*

Epidermal inclusion cysts, dermoid cysts, and branchial cleft cysts may occur in the endolarynx. An epidermoid cyst, a keratin-filled cyst lined by stratified squamous mucosa, may be the result of a traumatic mucosal inclusion, or a congenital rest. Rarer still are dermoid cysts, which contain skin adnexal structures and are purely mature benign growths

### Treatment and Prognosis

Conservative endoscopic removal will be curative for most cases. GCT have a very low rate (8%) of recurrence, even after incomplete excision. Recurrent tumors or frankly malignant tumors require resection with free margins. Twelve of 20 patients reported in the literature with metastatic malignant GCT ultimately died of disease.<sup>65</sup> We have seen a large (4.7-cm) hypopharyngeal GCT in a 29-year-old woman, which was ultimately fatal after locoregional metastasis. We have also seen a recurrent, non-metastazing laryngeal GCT, with atypical features (nuclear pleomorphism, spindling of cells, Pagetoid spread into overlying mucosa), that we classified as an atypical GCT.<sup>66</sup> Chiang et al<sup>67</sup> recently reported a patient with malignant laryngeal GCT and lung metastases.

## Squamous Cell Carcinoma

### Clinical Features

The exact laryngeal site for a tumor may determine or influence (1) the type of presenting symptoms, (2) stage at presentation, (3) surgical options, and (4) prognosis. Glottic tumors present with changes in voice quality, that is, hoarseness; patients tend to seek medical care when these tumors are relatively small. Large glottic tumors or bilateral glottic tumors may present with worsening upper airway obstruction and stridor (Fig 18-17). Supraglottic tumors may be larger than glottic tumors before becoming symptomatic (Fig 18-18). Epiglottic tumors may cause a change in vocal quality (a muffled or “hot potato voice”). Tumors at the base of the epiglottis may be asymptomatic and escape visualization at indirect laryngoscopy (“winklearzinom” or cancer in the corner”). Primary ventricular carcinomas are rare, and the majority of tumors encountered here result from the direct spread of glottic primaries. Primary ventricular carcinomas are noteworthy in that they remain hidden from the observer on laryngeal examination, merely forming a bulge under the intact vestibular fold mucosa. Most “infraglottic” tumors actually arise from the undersurface of the vocal fold; they are considered and staged as glottic

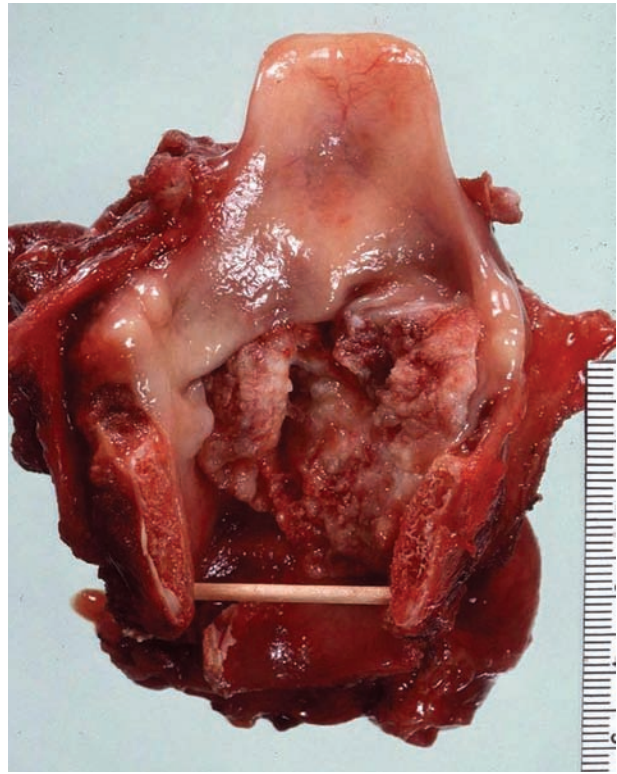


Fig 18-17. Transglottic squamous carcinoma.

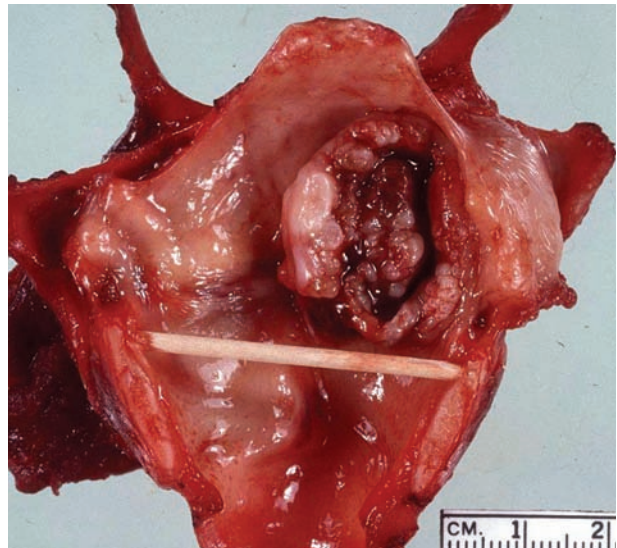


Fig 18-18. Supraglottic squamous carcinoma.

tumors. Infraglottic carcinomas may also present with hoarseness. Direct microlaryngoscopy may reveal their presence only after the vocal cords are



**Table 19–2.** Primary Tumor Categories (T)

TX	Primary tumor cannot be assessed
T0	No evidence of primary tumor
Tis	Carcinoma in situ
<b>Supraglottis</b>	
T1	Tumor limited to one site of the supraglottis with normal vocal cord mobility
T2	Tumor invades mucosa of more than one adjacent subsite of the supraglottis or glottis or region outside the supraglottis (eg, mucosa of base of tongue, vallecula, medial wall of pyriform sinus) without fixation of the larynx
T3	Tumor limited to larynx with vocal cord fixation and/or invades any of the following: postcricoid area, preepiglottic tissues, paraglottic space, and/or minor thyroid cartilage erosion (eg, inner cortex)
T4a	Tumor invades through the thyroid cartilage and/or invades tissues beyond the larynx (eg, trachea, soft tissues of neck including deep extrinsic muscle of the tongue, strap muscles, thyroid, or esophagus)
T4b	Tumor invades prevertebral space, encases carotid artery or invades mediastinal structures
<b>Glottis</b>	
T1	Tumor limited to the vocal cord(s), which may involve the anterior or posterior commissures, with normal vocal cord mobility
T1a	Tumor limited to one vocal cord
T1b	Tumor involves both vocal cords
T2	Tumor extends to the supraglottis and/or subglottis or with impaired vocal cord mobility
T3	Tumor limited to the larynx with vocal cord fixation
T4a	Tumor invades cricoid or thyroid cartilage and/or invades tissues beyond the larynx (eg, trachea, soft tissues of neck including deep extrinsic muscle of the tongue, strap muscles, thyroid, or esophagus)
T4b	Tumor invades prevertebral space, encases carotid artery or invades mediastinal structures
<b>Subglottis</b>	
T1	Tumor limited to the subglottis
T2	Tumor extends to the vocal cord(s) with normal or impaired vocal cord mobility
T3	Tumor limited to the larynx with vocal cord fixation
T4a	Tumor invades cricoid or thyroid cartilage and/or invades tissues beyond the larynx (eg, trachea, soft tissues of neck including deep extrinsic muscle of the tongue, strap muscles, thyroid, or esophagus)
T4b	Tumor invades prevertebral space, encases carotid artery or involves mediastinal structures

histopathologic grade (G), using the Broder's classification (Table 19–5),<sup>74</sup> be recorded. Other tumors of the head and neck may originate from tissues of glandular epithelium, odontogenic, lymphoid, various soft tissue, or bone and cartilage origin. Only laryngeal tumors of squamous cell origin are

included in the AJCC TNM cancer staging system. Because tumors of squamous cell origin always display histopathologic differentiation, grades of G1, G2, or G3 are always used. Tumors containing areas of undifferentiation adjacent to areas of squamous differentiation are classified as poorly differentiated.

**Table 19–3.** Regional Lymph Node Categories

NX	Regional lymph nodes cannot be assessed
N0	No regional lymph node metastasis
N1	Metastasis in a single ipsilateral lymph node 3 cm or less in greatest dimension
N2	Metastasis in a single ipsilateral lymph node, more than 3 cm but not more than 6 cm in greatest dimension; or in multiple ipsilateral lymph nodes, none more than 6 cm in greatest dimension; or in bilateral or contralateral lymph nodes none more than 6cm in greatest dimension
N2a	Metastasis in a single ipsilateral lymph node, more than 3 cm but not more than 6 cm in greatest dimension
N2b	Metastasis in multiple ipsilateral lymph nodes, none more than 6 cm in greatest dimension
N2c	Metastasis in bilateral or contralateral lymph nodes none more than 6 cm in greatest dimension
N3	Metastasis in a lymph node more than 6 cm in greatest dimension

**Table 19–4.** Distant Metastasis Categories (M)

MX	Presence of distant metastasis cannot be assessed
M0	No distant metastasis
M1	Distant metastasis

**Table 19–5.** Histopathologic Grade (G)

GX	Grade cannot be assessed
G1	Well differentiated
G2	Moderately differentiated
G3	Poorly differentiated
G4	Undifferentiated

**Optional Descriptors.** Table 19–6 shows optional descriptors but no specific recommendation for their recording is made by the AJCC or UICC.

**Table 19–6.** Optional Descriptors

<b>Lymphatic Invasion (L)</b>	
LX	Lymphatic invasion cannot be assessed
L0	No lymphatic invasion
L1	Lymphatic invasion
<b>Venous Invasion (V)*</b>	
VX	Venous invasion cannot be assessed
V0	No venous invasion
V1	Microscopic venous invasion
V2	Macroscopic venous invasion
<b>Residual Tumor (R) Classification</b>	
The absence or presence of residual tumor after treatment is described by the symbol R.	
RX	Presence of residual tumor cannot be assessed
R0	No residual tumor
R2	Microscopic residual tumor
R3	Macroscopic residual tumor

*Note:* Macroscopic involvement of the wall of veins (with no tumor within the veins) is classified as V2.

**TNM System for Unified Stage Groupings**

For each cancer, the individual T, N, and M category ratings are combined in tandem to form expressions, such as T2N1M0 or T3N2M1. Because 6 categories of T, 4 categories of N, and 2 categories of M create 48 possible combinations for the TNM expressions, stage groupings (*I, II, III, and IV*) are created to ease statistical analyses (Table 19–7).<sup>1,75</sup> The various combinations were selected based on the observation that patients with localized tumors had higher survival rates than patients with widespread tumors.

When there is no nodal involvement, the stage is determined by the extent of primary tumor. Thus, T1 = Stage I; T2 = Stage II; T3 = Stage III; T4a = Stage IVA, and T4b = Stage IVB. With nodal spread, stage is essentially determined by extent of nodal involvement. Thus, N1 is classified as Stage III for T1-3 and Stage IVA when T = 4a. When N is greater than N<sub>2</sub> and M = 0 then stage is Stage IVB. If M = 1, then stage is Stage IVC.

from research at Washington University to demonstrate how the TNM system could be expanded with the inclusion of symptom severity and prognostic comorbidity. These 3 variables are combined, using conjunctive consolidation, to create the CS Staging System.

The goal of the CS staging system project was twofold: (1) to demonstrate the prognostic importance of symptom severity and comorbidity and (2) to demonstrate that a composite CS staging, created by the addition of symptom severity and comorbidity to the TNM system, could substantially improve the prognostic precision of laryngeal cancer staging. The 1st step in the creation of the CS system was the creation of a functional severity (FS) system. The term functional severity is used for the conjunction of symptom severity and comorbidity as this represents the functional aspects of the cancer. As seen in Table 19-16, the conjoined impact of symptom severity and comorbidity is shown. Within each category of symptom stage, the survival rates were substantially lowered when prognostic comorbidity was present. For example, patients with local (Stage 1) symptoms had a 76%

(181/237) 5-year survival rate without prognostic comorbidity, but this was reduced to 41% (9/22) when prognostic comorbidity was present. Because both symptom severity and prognostic comorbidity were independently important, categories of each variable were combined using the conjunctive consolidation techniques described above. Thus the 8 groups generated by the conjunction between symptom stage and prognostic comorbidity, were *consolidated* into 3 composite, FS stages, labeled as *alpha*, *beta*, and *gamma*.

Next, the prognostic impact of FS was examined within each TNM stage. As shown in Table 19-17, within each vertical column of TNM anatomic stage, the FS staging system defined important and consistent prognostic gradients. The 5-year survival rates in TNM Stage I ranged from 81% to 40%, 77% to 12% in TNM Stage II, 59% to 38% in TNM Stage III, and from 56% to 8% in TNM Stage IV based on FS Stage.

These results demonstrate the profound prognostic heterogeneity that can exist among patients who are in the same TNM stage. The 12 categories created by the conjunction of FS and TNM stage

**Table 19-16.** Five-Year Survival Rates in Conjunction of Symptom Severity and Prognostic Comorbidity Stages

Symptom Stage	Prognostic Comorbidity Stage			Total
	Alpha	Absent	Present	
1	Alpha	181/237 (76%)	Gamma 9/22 (41%)	190/259 (73%)
2		53/73 (73%)	2/8 (25%)	55/81 (68%)
3	Beta	96/173 (55%)	8/33 (24%)	104/206 (50%)
4		21/53 (40%)	1/10 (10%)	22/63 (35%)
<b>Total</b>		351/536 (65%)	20/76 (27%)	371/609 (61%)

Symptom stage: 1 = local, 2 = peri-local, 3 = systemic, and 4 = distant.

Alpha, Beta, and Gamma refer to the names of the three categories of the new composite FS Staging System.

hemilaryngectomy or SCPL alter the sphincteric function of the larynx and are therefore considered to be high-risk procedures in elderly patients. Conservation surgery has been performed for malignant and benign laryngeal tumors in children<sup>32,33</sup> for whom the same oncologic and functional principles apply.

The patient's use of voice professionally, and in general, must be evaluated preoperatively. Neolaryngeal voices (with 1 or both vocal folds resected) are not *normal* voices. The degree of dysphonia that is *acceptable* to the patient must be determined preoperatively. The degree of dysphonia is not always predictable and can vary from patient to patient, even with the same surgical technique.

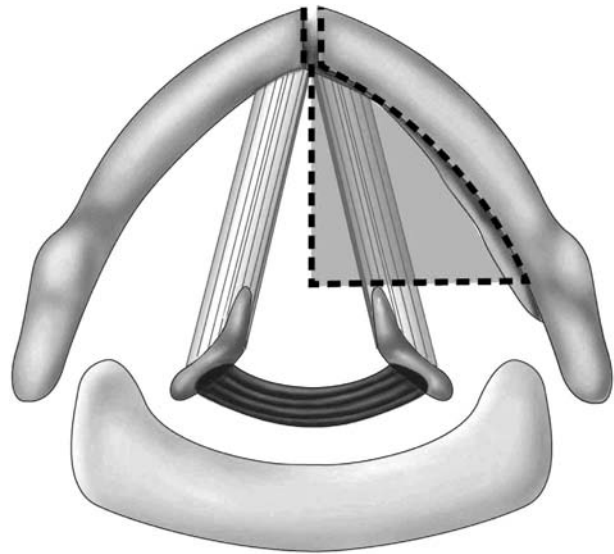
## REVIEW OF SURGICAL TECHNIQUES FOR GLOTTIC CANCER

### Vertical Partial Laryngectomies

The vertical partial laryngectomies are referred to as such because tumor resection is carried out through a vertical thyrotomy, with or without resection of part of the thyroid cartilage. These techniques include cordectomies, frontolateral laryngectomies, hemilaryngectomies, and frontal anterior laryngectomies.

#### *Laryngofissure with Cordectomy*

**Principles and Indications.** One of the earliest techniques (1st described in the early 19th century), the external cordectomy involves removal of 1 vocal fold via an anterior midline thyrotomy, with preservation of the laryngeal framework (Figs 24-3 and 24-4). It is indicated for T1a carcinoma, limited to the middle 3rd of the vocal fold with normal laryngeal mobility and without extension to the anterior commissure. This external approach for cordectomy has largely been supplanted by transoral laser cordectomy, the oncologic results being the same for both therapeutic options for tumors classified



**Fig 24-3.** External cordectomy, superior view. Reprinted with permission from Amplifon, France.

as T1a.<sup>34-36</sup> Cordectomies via thyrotomy are now reserved for surgical indications when microlaryngoscopic exposure of the larynx is impossible due to the patient's anatomic configuration. Radiation therapy can also be indicated for T1a glottic carcinoma, with excellent local control.<sup>37-39</sup> Radiation therapy is thought to result in better voice quality. Surgery performed for recurrence or a 2nd primary tumor after initial radiation therapy has decreased local control rates.<sup>40</sup>

**Technique.** Under general anesthesia with orotracheal intubation, a limited apron incision is made at the lower aspect of the neck, to avoid aligning the skin incision with the thyrotomy and to provide adequate exposure for the section of the thyroid isthmus and exposure of the trachea if tracheotomy is deemed necessary during the procedure or in the postoperative course. The skin flap is elevated up to the upper border of the thyroid cartilage and the strap muscles divided at the midline. The prelaryngeal tissue (level VI or the Delphian node) is resected completely, exposing the cricothyroid membrane, and systematically sent for pathologic examination. The cricothyroid membrane is incised vertically on the midline, taking care not to perforate the cuff of

Since than many different silicone-made prostheses have been developed (Table 27-1). The non-self-retaining prostheses (Bivona, Blom-Singer Duckbill and low-pressure devices, Herrmann) are designed for secondary placement some time following laryngectomy. The patient should be able to remove and replace the device for maintenance. The disadvantages of these non-self-retaining devices

are the attachment of the prosthesis to the skin with glue, regular removal for maintenance, reinsertion problems with spontaneous closure of the fistula, irritation of the tracheoesophageal shunt, extrusion of the prosthesis, and shunt migration.<sup>53</sup> The self-retaining prostheses (Blom-Singer indwelling, Groningen, Nijdam, Provox, Traissac, Voice Master) need daily maintenance without removal. During voice

**Table 27-1.** Overview of Different Types of Tracheoesophageal Voice Prostheses with (non) Self-Retaining Capacities and Their Specific Method of Insertion (anterograde, retrograde, or bidirectional).

Voice Prostheses	Types	Insertion	Self-Retaining
Algaba		Anterograde	Yes
Bivona	Duckbill	Anterograde	No
	Low resistance	Anterograde	No
	Ultralow resistance	Anterograde	No
	Bivona-Colorado	Anterograde	No
Blom-Singer	Duckbill	Anterograde	No
	Low pressure	Anterograde	No
	Indwelling low pressure	Anterograde	Yes
	Advantage indwelling	Anterograde	Yes
Bonelli valve		Anterograde	Yes
Groningen	Standard button	Bidirectional	Yes
	Low resistance	Bidirectional	Yes
	Ultralow resistance	Bidirectional	Yes
Henley-Cohn		Anterograde	Yes
Herrmann ESKA		Anterograde	No
Nijdam	Valveless	Retrograde	Yes
Panje voice button		Retrograde	Yes
Provox	Provox® Type 1	Retrograde	Yes
	Provox® Type 2	Bidirectional	Yes
	Provox® ActiValve	Bidirectional	Yes
Staffieri		Retrograde	Yes
Mozolewski	Supratracheal	Anterograde	No
Traissac		Retrograde	Yes
Voicemaster	Primo	Anterograde	Yes
	Standard	Anterograde	Yes

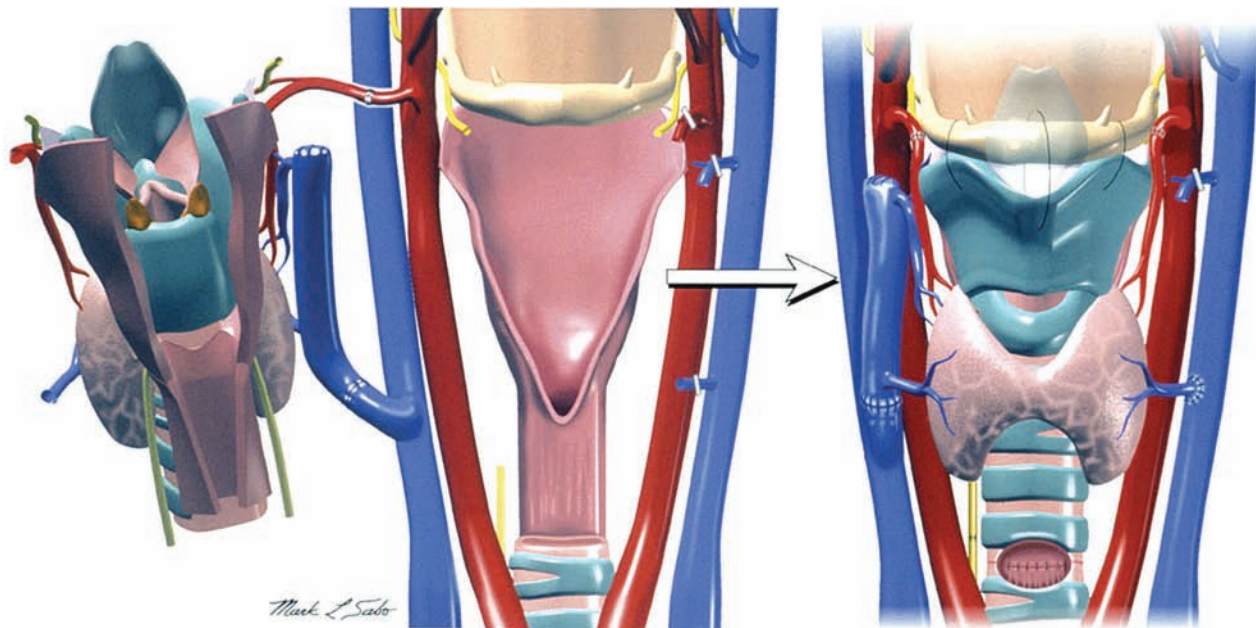
4, 1998, a team led by the senior author performed a total laryngeal transplantation in a man who had sustained severe laryngeal trauma in a motor vehicle accident.<sup>8</sup>

### THE FIRST SUCCESSFUL COMPOSITE HUMAN LARYNGEAL TRANSPLANT

The recipient was a 40-year-old man who had suffered a crush injury to his larynx and pharynx during a motorcycle accident 20 years earlier. Despite multiple attempts at another institution to reconstruct his larynx, he remained aphonic and tracheotomy dependent. The patient underwent extensive pretransplant counseling including psychiatric evaluation, speech pathology testing, and 4 interviews with members of the surgical team. All the people involved agreed that the patient understood the

risks and his motivation was appropriate. The procedure was approved by the Institutional Review Board of the Cleveland Clinic Foundation. After a 6-month search, a 40-year-old man who was brain dead from a ruptured cerebral aneurysm was identified as a suitable donor. He met all the predetermined criteria for acceptance in regard to HLA matching (4 of 5) and serum virology.

During the donor organ harvest, the entire pharyngolaryngeal complex, including 6 tracheal rings and the thyroid and parathyroid glands was removed (Fig 40-1). The organ complex was stored in University of Wisconsin solution during transport until revascularization 10 hours later. Prior to surgery, the recipient patient received cyclosporine, azathioprine, and methylprednisolone. After surgical exposure of the patient's severely deformed laryngeal structures but prior to their removal, perfusion to the donor organ was re-established. The donor's right superior thyroid artery was anastomosed to that of the patient, whereas the proximal end of the donor's right internal jugular vein was



**Fig 40-1.** The 1998 surgical technique of the first successful composite laryngeal transplantation. Anastomoses included the donor right internal jugular vein to recipient right facial vein, donor superior thyroid arteries to recipient superior thyroid arteries, and donor left middle thyroid vein to recipient left internal jugular vein. Note that both superior laryngeal nerves were anastomosed, whereas only the patient's right recurrent laryngeal nerve could be located for anastomosis to the donor organ's right recurrent laryngeal nerve.